Deformation classification of quartic surfaces with simple singularities

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Abstract. We give a complete equisingular deformation classification of simple spatial quartic surfaces which are in fact $K3$-surfaces.

1. Introduction

Throughout the paper, all varieties are over the field $\mathbb{C}$ of complex numbers.

1.1. Motivation and historical remarks

Thanks to the global Torelli theorem [30] and the surjectivity of the period map [19], the equisingular deformation classification of singular projective models of K3-surfaces with any given polarization becomes a mere computation. The most popular models studied intensively in the literature are plane sextic curves and spatial quartic surfaces. Using the arithmetical reduction [7], Akyol and Degtyarev [1] completed the problem of equisingular deformation classification of simple plane sextics. Simple quartic surfaces, which play the same role in the realm of spatial surfaces as sextics do for curves, are a relatively new subject, promising interesting discoveries. We confine ourselves to *simple* quartics only, i.e., those with **A**–**D**–**E** type singularities (quartic surfaces with a non-simple singular point, i.e., when the quartic is not a K3-surface, are quite different, see Degtyarev [10,11]). The work was originated in Urabe [38–40], and was extended by Yang [41], who gave a complete list of sets of singularities realized by simple quartics. Then, after a period of oblivion, it was resumed by Güneş Aktaş in [15], where she obtained the classification of the so-called *nonspecial* simple quartics by using the same aproach as in Degtyarev and Akyol [1]. In the meanwhile, Shimada [33], inspired by the work on quartics, produced a complete list of the connected components of the moduli space of Jacobian elliptic K3-surfaces (which can be regarded as U-polarized K3-surfaces).

It has become quite apparent that the classical approach to quartics and sextics based on the defining equations is bound to fail (see, for instance, Artal et al. [2–4]; Degtyarev [6], or Oka and Pho [27]); even when the classification is already known, it requires a tremendous amount of work to find the defining equations of curves/surfaces with large

sets of singularities. On the other hand, the more modern $K3$-theoretic approach, pioneered by Urabe [38, 39] and Yang [41], has already demonstrated its productivity, see, e.g., [1], [15] or [33].

Also worth mentioning is the vast literature related to the study of the deformation classification problems in the *real* case, singular or smooth: the classification of real smooth quartic surfaces by Kharlamov [18], the study on moduli space of real $K3$-surfaces by Nikulin [26], or the work on quartic spectrahedra by Degtyarev and Itenberg [9] and Ottem et al. [28].

1.2. Principal results

The present paper originates from the article [15], where the author has made a contribution to the systematic study of simple spatial quartics. Our principal result is extending the classification given in [15] for only nonspecial simple quartics to the whole space of simple quartics and, thus, completing the equisingular deformation classification of simple quartic surfaces. This result closes a long standing project initiated by Persson [29] and Urabe [38–40].

A set of simple singularities of a simple quartic can be identified with a root lattice (see [12] and Section 3.3) and recall that the total Milnor number $\mu(X)$ of a simple quartic $X \subset \mathbb{P}^3$ is given by the rank of the corresponding root lattice. One has $\mu(X) \leq 19$ (see [39], cf. [29]); if $\mu(X) = 19$, the quartic X is called *maximizing*. (Recall that maximizing quartics are projectively rigid.)

Our classification is based on the arithmetical reduction found by Degtyarev and Itenberg [9], that reduces the equisingular deformation classification of simple quartic surfaces to a purely lattice-theoretical question. The resulting arithmetical problem is solved by first using Nikulin's theory of discriminant forms [25]. Then, the computation is done separately for the maximizing ($\mu(X) = 19$) and the non-maximizing ($\mu(X) \leq 18$) case; for the former, we use Gauss's theory of binary quadratic forms [14]; for the latter, we apply Miranda–Morrison's results [21–23], reducing the analysis of the orthogonal groups of indefinite lattices to a relatively simple computation in finite abelian groups.

Denote by $\mathfrak{X}$ the space of all spatial quartics; it is subdivided into equisingular strata $\mathfrak{X}(S)$, where S is a set of simple singularities. Each stratum $\mathfrak{X}(S)$ is further subdivided into its connected components corresponding to equisingular deformation families. The equisingular strata $\mathfrak{X}(S)$ splits also into families $\mathfrak{X}_*(S)$, where the subscript $*$ is the sequence of invariant factors of a certain finite group $\mathcal{K}$ (see Sections 2.4 and 4.1). A complete description of the strata $\mathfrak{X}_1(S)$ constituted by the so-called *nonspecial* quartics, i.e., $\mathcal{K} = 0$, is given by Güneş Aktaş [15]. We complete this project in this paper by giving a complete description of the whole equisingular strata $\mathfrak{X}(S)$ consisting also of the quartics with $\mathcal{K} \neq 0$.

Our classification is obtained by implementing in GAP [37] the algorithms given in Section 4, as the number of classes is huge (about 12.000). The original code used in [15], where we settled the case of nonspecial quartics, was based partially on manually precomputed data specific to a particular degree. Therefore, the code has been extended to implement a complete version of the Miranda–Morrison theory [21–23] and a genuinely repetition free enumeration of realizable configurations (see Section 3.3). The principal novelty, compared to the nonspecial case, where $\mathcal{K} = 0$, is that the imprimitivity,

Table 1. Quartic surfaces with simple singularities

μ	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	Total
ss	1	2	3	6	9	16	24	39	57	88	128	193	276	403	563	765	880	738	278	4469
cf	1	2	3	6	9	17	26	46	74	130	211	361	580	939	1370	1779	1766	1178	347	8845
r	1	2	3	6	9	17	26	46	74	130	211	361	580	939	1370	1778	1765	1167	304	8789
c																1	1	11	86	99
ex																2	1	36	all	39

i.e., $\mathcal{K} \neq 0$, is also taken into account; furthermore, we have developed a more advanced method of computing the Miranda–Morrison homomorphism based on lifting reflections to a p-adic lattice (see Section 2.6.1) and settled the missing types.

The standard coordinatewise complex conjugation conj: $\mathbb{P}^3 \to \mathbb{P}^3$, $z \mapsto \bar{z}$, induces a real structure c: $\mathcal{X} \to \mathcal{X}$ (i.e., an antiholomorphic involution) which takes a quartic to its conjugate. A connected component $\mathcal{D} \subset \mathcal{X}(S)$ is called *real* if $c(\mathcal{D}) = \mathcal{D}$. Clearly, each stratum $\mathcal{X}(S)$ consists of real and pairs of complex conjugate components; this classification of components is given in [1] for sextics and in [15] for nonspecial quartics.

By a *perturbation* of a set of singularities S, we mean a primitive root sublattice S' of the corresponding root lattice S. Recall that unlike high degrees, for a simple quartic surface X with the set of singularities S, any perturbation of S is actually realized by a perturbation of X. Our extremal families are extremal in the sense that they are not obtained by perturbation from any bigger family. The list of all equisingular strata $\mathcal{X}(S)$ is too huge to be listed explicitly; thus in the existence part of the statement of Theorem 1.1, we describe only those strata that are extremal in terms of perturbations (see Section 3.4).

The ultimate result can be stated as follows.

Theorem 1.1. *The equisingular deformation families of simple quartic surfaces $X \subset \mathbb{P}^3$ are the perturbations of the 390 maximizing ($\mu = 19$) families listed in Table 3, and the 39 extremal families listed in Table 4.*

In particular, a non-maximizing quartic is uniquely determined by its configuration (see Definition 3.2) up to deformation and complex conjugation, and there are only 13 non-maximizing families listed in Table 5 that are not real.

The counts are summarized in Table 1, where we list the following data, itemized by the total Milnor number $\mu := \mathrm{rank}\, S$:

- ss = the number of sets of singularities;
- cf = the number of *configurations* (see Definition 3.2), or *lattice types* in [32];
- (r, c) = the numbers of real components and pairs of complex conjugate ones;
- ex = the number of extremal families.

1.3. Contents of the paper

In Section 2, after recalling basic notions and facts of Nikulin's theory of discriminant forms and lattice extensions [25], we give a brief introduction to Miranda–Morrison's theory [21–23] and recast some of their results in a more convenient way to use for our purposes. Then in Section 2.6, as one of the principal novelties of this paper, we introduce

an approach for lifting reflections from finite quadratic form to the p-adic lattices which resolves exceptional remaining cases in our computations.

In Section 3, we consider quartics as $K3$-surfaces, define the notions of configurations and their realizations and use the theory of $K3$-surfaces to reduce the original geometric problem to a purely arithmetical question concerning realizations of configurations.

Having the conceptual part settled, the principal result of the paper, stated in Section 1.2, is proved in Section 4, where we outline the algorithm used to enumerate the equisingular deformation classes of quartics and give some examples illustrating the steps listed in the general scheme. Finally, in Section 4.6, we demonstrate the proof of Theorem 1.1 in a detailed way for the particular set of singularities $S = \mathbf{A}_{15} \oplus \mathbf{A}_3$ and then make some concluding remarks. The tables referred to in the main result Theorem 1.1 are given in Section 4.8.

2. Integral lattices

In this introductory section, we recall briefly a few elementary facts concerning integral lattices, their discriminant forms and extensions. The principal reference is [25].

2.1. Finite quadratic forms (see [21, 25])

A *finite quadratic form* is a finite abelian group $\mathcal{L}$ equipped with a map $q \colon \mathcal{L} \to \mathbb{Q}/2\mathbb{Z}$ quadratic in the sense that

$$q(x + y) = q(x) + q(y) + 2b(x, y), \quad q(nx) = n^2 q(x), \quad x, y \in \mathcal{L}, \ n \in \mathbb{Z},$$

where $b \colon \mathcal{L} \otimes \mathcal{L} \to \mathbb{Q}/\mathbb{Z}$ is a symmetric bilinear form (which is determined by q) and $2 \colon \mathbb{Q}/\mathbb{Z} \to \mathbb{Q}/2\mathbb{Z}$ is the natural isomorphism. We abbreviate $x^2 := q(x)$ and $x \cdot y := b(x, y)$.

Each finite quadratic form can be decomposed into the orthogonal direct sum $\mathcal{L} = \bigoplus_p \mathcal{L}_p$ of its p-primary components, $\mathcal{L}_p := \mathcal{L} \otimes \mathbb{Z}_p$, where the summation runs over all primes p. The *length* $\ell(\mathcal{L})$ is the minimal number of generators of $\mathcal{L}$; we put $\ell_p(\mathcal{L}) := \ell(\mathcal{L}_p)$. A finite quadratic form $\mathcal{L}$ is called *even* if $x^2 = 0 \bmod \mathbb{Z}$ for each element $x \in \mathcal{L}_2$ of order 2; it is called *odd* otherwise.

A finite quadratic form is *nondegenerate* if the homomorphism

$$\mathcal{L} \to \operatorname{Hom}(\mathcal{L}, \mathbb{Q}/\mathbb{Z}), \quad x \mapsto (y \mapsto x \cdot y)$$

is an isomorphism. We denote by $\operatorname{Aut}(\mathcal{L})$ the group of automorphisms of $\mathcal{L}$ preserving the form q. A subgroup $\mathcal{K} \subset \mathcal{L}$ is called *isotropic* if the restriction of the quadratic form q on $\mathcal{L}$ to $\mathcal{K}$ is identically zero. If this is the case, $\mathcal{K}^\perp/\mathcal{K}$ also inherits from $\mathcal{L}$ a nondegenerate quadratic form.

For a fraction $m/n \in \mathbb{Q}/2\mathbb{Z}$, with $(m, n) = 1$ such that $mn = 0 \bmod 2$, we denote by $\langle m/n \rangle$ the nondegenerate finite quadratic form on $\mathbb{Z}/n\mathbb{Z}$ sending the generator to m/n, i.e., , $\alpha^2 = m/n \bmod 2\mathbb{Z}$ for a generator α. For an integer $k \geq 1$, let $\mathcal{U}_n$ and $\mathcal{V}_n$ be the length 2 forms on $(\mathbb{Z}/n\mathbb{Z})^2$, defined by the matrices

$$\mathcal{U}_n := \begin{bmatrix} 0 & 1/n \\ 1/n & 0 \end{bmatrix}, \quad \mathcal{V}_n := \begin{bmatrix} 2/n & 1/n \\ 1/n & 2/n \end{bmatrix}, \quad \text{where } n = 2^k.$$

Nikulin [25] proved that, a nondegenerate finite quadratic form splits into an orthogonal direct sum of cyclic forms $\langle m/n \rangle$ (defined on the cyclic group $\mathbb{Z}/n\mathbb{Z}$) and length 2 blocks $\mathcal{U}_n$ and $\mathcal{V}_n$. Unless the 2-torsion consists of the summands of length 2, we describe nondegenerate finite quadratic forms by expressions of the form $\langle q_1 \rangle \ldots \langle q_r \rangle$, where $q_i = n_i/m_i \in \mathbb{Q}$ as above; the group is generated by pairwise orthogonal elements $\alpha_1, \ldots, \alpha_n$ (numbered in the order of appearance) so that $\alpha_i^2 = m_i/n_i \bmod 2\mathbb{Z}$ and order of α_i is n_i.

Definition 2.1. Let $\mathcal{L}$ be a nondegenerate quadratic form. Given a prime p, the determinant of the Gram matrix (in any minimal basis) of $\mathcal{L}_p$ has the form $u/|\mathcal{L}_p|$ for some unit $u \in \mathbb{Z}_p^\times$, and this unit is well defined modulo $(\mathbb{Z}_p^\times)^2$ unless $p = 2$ and $\mathcal{L}_2$ is odd; in the latter case, u is well defined modulo the subgroup generated by $(\mathbb{Z}_2^\times)^2$ and 5. We define $\det_p \mathcal{L} = u/|\mathcal{L}_p|$, where $u \in \mathbb{Z}_p^\times/(\mathbb{Z}_p^\times)^2$ or $u \in \mathbb{Z}_2^\times/(\mathbb{Z}_2^\times)^2 \times \{1, 5\}$ is as above (see [23]).

Remark 2.2. According to Nikulin [25], given a prime p and a quadratic form $\mathcal{L}$ on a p group, there is a p-adic lattice L such that $\mathrm{rk}\, L = \ell_p(\mathcal{L})$ and $\mathrm{discr}\, L = \mathcal{L}_p$. Unless $p = 2$ and $\mathcal{L}$ is odd, such a lattice L is determined by $\mathcal{L}$ uniquely up to isomorphism. In the exceptional case $p = 2$ and $\mathcal{L}$ is odd, there are two such lattices that differ by determinants. One has $\det L = \det_p \mathcal{L}|\mathcal{L}_p|^2 = u|\mathcal{L}_p|$ for some unit u as in the Definition 2.1 (Nikulin uses this equality as a definition of $\det_p \mathcal{L}$).

2.2. Integral lattices and discriminant forms

An (*integral*) *lattice* is a finitely generated free abelian group L equipped with a symmetric bilinear form $b \colon L \otimes L \to \mathbb{Z}$. Whenever the form is fixed, we use the abbreviations $x^2 := b(x, x)$ and $x \cdot y := b(x, y)$. A lattice L is called *even* if $x^2 = 0 \bmod 2$ for all $x \in L$; it is called *odd* otherwise. The *determinant* $\det L \in \mathbb{Z}$ is the determinant of the Gram matrix of b in any integral basis of L. A lattice L is called *unimodular* if $\det L = \pm 1$; it is called *nondegenerate* if $\det L \neq 0$, or equivalently, if the *kernel*

$$\ker L = L^\perp := \{x \in L \mid x \cdot y = 0 \text{ for all } y \in L\}$$

is trivial.

The *signature* of a nondegenerate lattice L is the pair (σ_+, σ_-) of its inertia indices. A nondegenerate lattice is called *hyperbolic* if $\sigma_+ L = 1$. Given a lattice L, the bilinear form on L can be extended by linearity to a $\mathbb{Q}$-valued bilinear form on $L \otimes \mathbb{Q}$. If L is nondegenerate, then we have canonical inclusion

$$L \subset L^\vee := \mathrm{Hom}(L, \mathbb{Z}) = \{x \in L \otimes \mathbb{Q} \mid x \cdot y \in \mathbb{Z} \text{ for all } y \in L\}.$$

The finite quotient group $\mathrm{discr}\, L := L^\vee/L$ of order $|\det L|$ is called the *discriminant group* of L. In particular, L is unimodular if and only if $\mathrm{discr}\, L = 0$, i.e., $L = L^\vee$

The discriminant group inherits from $L \otimes \mathbb{Q}$ a nondegenerate symmetric bilinear form

$$b \colon \mathrm{discr}\, L \otimes \mathrm{discr}\, L \to \mathbb{Q}/\mathbb{Z}, \quad (x \bmod L) \otimes (y \bmod L) \mapsto (x \cdot y) \bmod \mathbb{Z},$$

and if L is even, its quadratic extension

$$q \colon \mathrm{discr}\, L \to \mathbb{Q}/2\mathbb{Z}, \quad (x \bmod L) \mapsto x^2 \bmod 2\mathbb{Z},$$

called, respectively, the *discriminant bilinear form* and the *discriminant quadratic form*. Note that the discriminant group of an even lattice is a finite quadratic form. We use the notation $\operatorname{discr}_p L$ for the p-primary part of $\operatorname{discr} L$. When speaking about the discriminant groups and their (anti-)isomorphisms, these forms are always taken into account.

Lattices are naturally grouped into *genera*. Omitting the precise definition, we follow Nikulin [25], who states that two nondegenerate even lattices L' and L'' are in the same *genus* if and only if one has $\operatorname{rk} L' = \operatorname{rk} L''$, $\sigma L' = \sigma L''$ and $\operatorname{discr} L' \cong \operatorname{discr} L''$. Each genus consists of finitely many isomorphism classes.

An isometry $\psi \colon L \to L'$ between two lattices is a group homomorphism respecting the bilinear forms; obviously, one always has $\operatorname{Ker} \psi \subset \operatorname{Ker} L$. The group of bijective autoisometries of a nondegenerate lattice L is denoted by $O(L)$. The action of $O(L)$ extends to $L \otimes \mathbb{Q}$ by linearity, and the latter action descents to $\operatorname{discr} L$. Therefore, there is a natural homomorphism $O(L) \to \operatorname{Aut}(\operatorname{discr} L)$, where $\operatorname{Aut}(\operatorname{disc} L)$ denotes the group of automorphisms of $\operatorname{discr} L$ preserving the discriminant form q on $\operatorname{discr} L$. In general, this map is neither one-to-one nor onto; however, without any confusion we freely apply autoisometries $g \in O(L)$ to objects in $\operatorname{discr} L$. Obviously, one has $\operatorname{Aut}(\operatorname{discr} L) = \prod_p \operatorname{Aut}(\operatorname{discr}_p L)$, where the product runs over all primes. The restriction of d to p-primary components are denoted by $d_p \colon O(L) \to \operatorname{Aut}(\operatorname{discr}_p L)$.

A 4-*polarized lattice* is a nondegenerate hyperbolic lattice L equipped with a distinguished vector $h \in L$ such that $h^2 = 4$; this vector is usually assumed but often omitted from the notation. The group of autoisometries of L preserving h is denoted by $O_h(L)$.

The orthogonal projection establishes a linear isomorphism between any two maximal positive definite subspaces in $L \otimes \mathbb{R}$, thus providing a way for comparing orientations. A coherent choice of orientations of all maximal positive definite subspaces is called a *positive sign structure* on L. We denote by $O^+(L) \subset O(L)$ the subgroup consisting of the autoisometries preserving a positive sign structure. Either one has $O^+(L) = O(L)$, or $O(L)^+$ is a subgroup of $O(L)$ of index 2. In the latter case, each element of $O(L) \smallsetminus O^+(L)$ is called a *skew-autoisometry* of L, i.e., the autoisometries of L that reverse the positive sign structure.

Of special importance are the so called reflections of L: given a nonzero vector $a \in L$, the *reflection* defined by a is the automorphism

$$t_a \colon L \to L, \quad x \mapsto \frac{2(a \cdot x)}{a^2}\, a.$$

It is well defined if and only if $(2a/a^2) \in L^\vee$. Note that t_a is an involution. Each image $d_p(t_a)$ is also a reflection, and if $a^2 = \pm 1$ or $a^2 = \pm 2$, then the induced automorphism $d(t_a)$ of the discriminant group is the identity and t_a extends to any lattice containing L.

2.3. Root lattices

A *root* in an even lattice L is a vector $r \in L$ of square (-2). A *root lattice* is an even negative definite lattice generated by its roots. Each root lattice has a unique decomposition into orthogonal direct sum of irreducible root lattices, the latter being those of types $\mathbf{A}_n$, $n \geq 1$, $\mathbf{D}_n$, $n \geq 4$, or $\mathbf{E}_n$, $n = 6, 7, 8$.

Given a root lattice S, the vertices of the Dynkin diagram $\Gamma := \Gamma_S$ can be identified with the elements of a basis for S constituting a single Weyl chamber. Thus, one has an

obvious homomorphism $\text{Sym}(\Gamma) \to O(S)$, where $\text{Sym}(\Gamma)$ is the group of symmetries of the Dynkin diagram Γ. By the classification of the connected Dynkin graphs, for irreducible root lattices, the groups $\text{Sym}(\Gamma)$ are given as follows:

(1) if S is $\mathbf{A}_1$, $\mathbf{E}_7$ or $\mathbf{E}_8$, then $\text{Sym}(\Gamma) = 1$,

(2) if S is $\mathbf{D}_4$, then $\text{Sym}(\Gamma) = \mathbb{S}_3$,

(3) for all other types, $\text{Sym}(\Gamma) = \mathbb{Z}_2$.

If S is $\mathbf{A}_p$, $p \geq 2$, $\mathbf{D}_{2k+1}$ or $\mathbf{E}_8$, then the only nontrivial symmetry of Γ induces $-\,\text{id}$ on discr S. If S is $\mathbf{E}_8$ then discr $S = 0$ and if S is $\mathbf{A}_1$, $\mathbf{A}_7$ of $\mathbf{D}_{2k}$, the groups discr S are $\mathbb{F}_2$ modules and $-\,\text{id} = \text{id}$ on $\text{Aut}(\text{discr } S)$.

2.4. Lattice extensions

From now on, unless specified otherwise, all lattices considered are even and nondegenerate. An extension of an even lattice S is an even lattice L containing S. Two extensions L', $L'' \supset S$ are called *isomorphic* if there is a bijective isometry $L' \to L''$ preserving S, in particular, if the isomorphism $L' \to L''$ is identical on S, the extensions L' and L'' are called *strictly isomorphic*. More generally, one can also fix a subgroup $G \in O(S)$ and speak about *G-isomorphisms* of the extensions, i.e., bijective isometries whose restriction to S is in G.

The two extreme cases are *finite index extensions*, i.e., L contains S as a subgroup of finite index and *primitive extensions*, i.e., L/S is torsion free. The general case $L \supset S$ splits into the finite index extension $\tilde{S} \supset S$ and the primitive extension $L \supset \tilde{S}$, where

$$\tilde{S} := \{x \in L \mid nx \in S \text{ for some } n \in \mathbb{Z}\}$$

is the *primitive hull* of S in L.

Any extension $L \supset S$ of finite index admits a unique embedding $L \subset S \otimes \mathbb{Q}$. Since S is nondegenerate, we have $L \subset S^\vee$, and hence the natural inclusions

$$S \subset L \subset L^\vee \subset S^\vee.$$

The subgroup $\mathcal{K} := L/S \subset S^\vee/S = \text{discr } S$ is called the *kernel* of the finite index extension $L \supset S$. This subgroup $\mathcal{K}$ is *isotropic* (since L is an even integral lattice), i.e., the restriction to $\mathcal{K}$ of the quadratic form $q \colon \text{discr } S \to \mathbb{Q}/2\mathbb{Z}$ is identically zero. Conversely, if $\mathcal{K} \subset \text{discr } S$ is isotropic, the lattice

$$L := \{x \in S \otimes \mathbb{Q} \mid x \bmod S \in \mathcal{K}\}$$

is an extension of S and we say that L is the extension of S by $\mathcal{K}$. Thus, we have the following statement.

Theorem 2.3 (Nikulin [25]). *Let S be a nondegenerate even lattice, and fix a subgroup $G \subset O(S)$. The map*

$$L \mapsto \mathcal{K} := L/S \subset \text{discr } S$$

establishes a one-to-one correspondence between the set of G-isomorphism classes of finite index extensions $L \supset S$ and the set of G-orbits of isotropic subgroups $\mathcal{K} \subset \text{discr } S$. Under this correspondence, one has $\text{discr } L = \mathcal{K}^\perp/\mathcal{K}$.

Furthermore,

(1) *an autoisometry $g \in O(S)$ extends to L if and only if $g(\mathcal{K}) = \mathcal{K}$;*

(2) *two extensions L' and L'' are isomorphic if and only if their kernels $\mathcal{K}'$ and $\mathcal{K}''$ are in the same $O(S)$-orbit, i.e., if there is $g \in O(S)$ such that $g(\mathcal{K}') = g(\mathcal{K}'')$.*

Another extreme case is that of a primitive extension $L \supset S$, (i.e., such that the group L/S is torsion free). Such extensions are studied by fixing (the isomorphism class of) the orthogonal complement $T := S^{\perp} \in L$. Then L is a finite index extension of $S \oplus T$, in which T is also primitive, and by Theorem 2.3, it is described by an isotropic subgroup

$$\mathcal{K} \subset \operatorname{discr}(S \oplus T) = \operatorname{discr} S \oplus \operatorname{discr} T.$$

Since S and T are both primitive in L, the kernel $\mathcal{K}$ does not intersect with any of discr S and discr T. It follows that the projection maps

$$\operatorname{proj}_S : \mathcal{K} \to \operatorname{discr} S \quad \text{and} \quad \operatorname{proj}_T : \mathcal{K} \to \operatorname{discr} T$$

are both monomorphisms. Since $\mathcal{K}$ is isotropic, it is the graph of a bijective anti-isometry $\psi \colon \operatorname{discr} S' \to \operatorname{discr} T'$, where $\operatorname{discr} S' = \operatorname{proj}_S(\mathcal{K})$ and $\operatorname{discr} T' = \operatorname{proj}_T(\mathcal{K})$. Conversely, given a bijective anti-isometry $\psi \colon \operatorname{discr} S' \to \operatorname{discr} T'$, where $\operatorname{discr} S' \subset \operatorname{discr} S$ and $\operatorname{discr} T' \subset \operatorname{discr} T$, the graph of ψ is an isotropic subgroup $\mathcal{K} \subset \operatorname{discr} S \oplus \operatorname{discr} T$ and the corresponding finite index extension $L \supset S \oplus T$ is a primitive extension whose kernel is $\mathcal{K}$. Thus, we have the following statement (cf. Nikulin [25]).

Lemma 2.4. *Given two nondegenerate even lattices S and T and a subgroup $G \subset O(S) \times O(T)$, there is a one-to-one correspondence between the set of G-isomorphism classes of finite index extensions $L \supset S \oplus T$ in which both S and T are primitive, and that of G-conjugacy classes of bijective anti-isometries*

$$\psi : \operatorname{discr} S' \to \operatorname{discr} T', \tag{2.1}$$

where $\operatorname{discr} S' \subset \operatorname{discr} S$ and $\operatorname{discr} T' \subset \operatorname{discr} T$. Furthermore, a pair of isometries $f \in O(S)$ and $g \in O(T)$ extends to L if and only if $f|_{\operatorname{discr} S'} = \psi^{-1} g|_{\operatorname{discr} T'} \psi$ in $\operatorname{Aut}(\operatorname{discr} S')$.

If L above is unimodular, disc $L = 0$, we have $|\operatorname{discr} S||\operatorname{discr} T| = |\operatorname{discr} S'||\operatorname{discr} T'|$. Hence, $\operatorname{discr} S' = \operatorname{discr} S$ and $\operatorname{discr} T' = \operatorname{discr} T$, and ψ in (2.1) is an anti-isomorphism $\operatorname{discr} S \to \operatorname{discr} T$. Since also $\sigma_{\pm} T = \sigma_{\pm} L - \sigma_{\pm} S$, it follows that the genus $g(T)$ is determined by the genera $g(S)$ and $g(L)$; we will denote this common genus by $g(S_L^{\perp})$ (We emphasize that $g(S_L^{\perp})$ merely encodes a "local data" composed formally from $g(S)$ and $g(L)$; a priori, it may even be empty, cf. Theorem 2.6 below). If L is also indefinite, it is unique in its genus (see, e.g., Siegel [34–36]). Then we have the following corollary of the above lemma.

Corollary 2.5. *Given a subgroup $G \subset O(S)$ and a unimodular even indefinite lattice L, a primitive isometry $S \hookrightarrow L$ gives rise to a bijective isometry $\psi \colon \operatorname{discr} S \to -\operatorname{discr} S^{\perp}$, and G-isomorphism classes of a primitive isometry $S \hookrightarrow L$ are in canonical bijection with the following sets of data:*

(1) *an even lattice (isomorphism class) $T \in g(S_L^{\perp})$, and*

(2) *a bi-coset in $G \backslash \operatorname{Aut}(\operatorname{discr} T)/O(T)$.*

In particular, the extension $L \supset S$ exists if and only if the genus $g(S_L^{\perp})$ is nonempty.

From now on, we fix the notation $\mathbf{L} := 3\mathbf{U} \oplus 2\mathbf{E}_8$, where $\mathbf{U}$ stands for the *hyperbolic plane*, the lattice generated by a pair of vectors u and v (referred to as the *standard basis* of $\mathbf{U}$) with $u^2 = v^2 = 0$ and $u \cdot v = 1$. Note that $3\mathbf{U} \oplus 2\mathbf{E}_8$ is the unique even unimodular lattice of rank 22 and signature $(3, 19)$. We are concerned about this lattice as it is the intersection index form of a $K3$-surface X, i.e., $H_2(X; \mathbb{Z}) \cong \mathbf{L}$. We are interested in the primitive embeddings to $\mathbf{L}$. The following theorem, that gives a criterion for $g(S_{\mathbf{L}}^{\perp}) \neq \emptyset$, is a combination of the above observation and Nikulin's existence theorem [25] applied to the genus $g(T)$.

Theorem 2.6 (Nikulin [25]). *Given a nondegenerate even lattice S, a primitive extension $\mathbf{L} \supset S$ exists if and only if the following conditions hold*:

(1) $\sigma_+ S \leq 3$, $\sigma_- S \leq 19$ *and* $\ell(\mathcal{S}) \leq 22 - \mathrm{rk}\, S$, *where* $\mathcal{S} = \mathrm{discr}\, S$;

(2) *one has that* $|\mathcal{S}| \det_p(\mathcal{S}) = (-1)^{\sigma_+ S - 1} \bmod (\mathbb{Z}_p^{\times})^2$ *for each prime* $p > 2$ *such that* $\ell_p(\mathcal{S}) = 22 - \mathrm{rk}\, S$;

(3) *if* $\ell_2(\mathcal{S}) = 22 - \mathrm{rk}\, S$ *and* $\mathcal{S}_2$ *is even, then* $|\mathcal{S}| \det_2(\mathcal{S}) = \pm 1 \bmod (\mathbb{Z}_2^{\times})^2$.

2.5. Miranda–Morrison theory

Following the classical approach, Nikulin [25] gives the sufficient conditions to obtain the uniqueness of an even indefinite lattice T of rank at least 3 in its genus and surjectivity of the map $d \colon O(T) \to \mathrm{Aut}(\mathrm{discr}\, T)$. However, those conditions do not capture all the cases that we want to cover, hence we apply the stronger (non)uniqueness criteria due to Miranda–Morrison [21–23] extending Nikulin's work. Throughout this section, we assume that T is an indefinite nondegenerate even lattice of rank $\mathrm{rk}\, T \geq 3$.

With the ultimate goal of calculating the groups $E(T)$ and $E^+(T)$ (see the definitions in (2.2) and (2.11) below), and the images of some certain maps in these groups, it is convenient to introduce the following groups:

$$\Gamma_p := \{\pm 1\} \times \mathbb{Q}_p^{\times}/(\mathbb{Q}_p^{\times})^2,$$
$$\Gamma_0 := \{\pm 1\} \times \{\pm 1\} \subset \Gamma_{\mathbb{Q}} := \{\pm 1\} \times \mathbb{Q}^{\times}/(\mathbb{Q}^{\times})^2,$$

and following subgroups related to Γ_p:

- $\Gamma_{p,0} := \{(1, 1), (1, u_p), (-1, 1), (-1, u_p)\} \subset \Gamma_p$; here, p is odd and u_p is the only nontrivial element of $\mathbb{Z}_p^{\times}/(\mathbb{Z}_p^{\times})^2$,
- $\Gamma_{2,0} := \{(1, 1), (1, 3), (1, 5), (1, 7), (-1, 1), (-1, 3), (-1, 5), (-1, 7)\} \subset \Gamma_2$,
- $\Gamma_{2,2} := \{(1, 1), (1, 5)\} \subset \Gamma_2^{++}$,
- $\Gamma_0^{--} := \{(1, 1), (-1, -1)\} \subset \Gamma_0$.

We also define

$$\Gamma_{\mathbb{A},0} := \prod_p \Gamma_{p,0} \subset \Gamma_{\mathbb{A}} := \Gamma_{\mathbb{A},0} \cdot \sum_p \Gamma_p \subset \Gamma := \prod_p \Gamma_p,$$

where we use $\cdot$ to denote the sum of subgroups, while we reserve the notation $\sum$ and $\prod$ to distinguish between direct sums and products. Note that

$$\Gamma_{\mathbb{A}} = \{(d_p, s_p) \in \Gamma \mid (d_p, s_p) \in \Gamma_{p,0} \text{ for almost all } p\}.$$

Following [23], we will consider certain subgroups

$$\Sigma_p^{\sharp}(T) := \Sigma^{\sharp}(T \otimes \mathbb{Z}_p) \quad \text{and} \quad \Sigma_p(T) := \Sigma(T \otimes \mathbb{Z}_p),$$

which are both a priori subgroups of Γ_p (we refer the reader to see Section 4 in Chapter 7 of [23] for the precise definitions). In fact, $\Sigma_p^{\sharp} \subset \Gamma_{p,0}$ always and $\Sigma_p \subset \Gamma_{p,0}$ for almost all p. The subgroups $\Sigma_p^{\sharp}(T)$ are computed explicitly in [23] (see Theorems 12.1, 12.2, 12.3 and 12.4 in Chapter 7). One has

$$\Sigma^{\sharp}(T) := \prod_p \Sigma_p^{\sharp}(T) \subset \Gamma_{\mathbb{A},0} \quad \text{and} \quad \Sigma(T) := \prod_p \Sigma_p(T) \subset \Gamma_{\mathbb{A}}.$$

We introduce the *Miranda–Morrison group* $E(T)$ as it is defined in [23] (see Chapter 8, Sections 5, 6 and 7):

$$(2.2) \qquad\qquad E(T) := \Gamma_{\mathbb{A},0} \, / \prod_p \Sigma_p^{\sharp}(T) \cdot \Gamma_0.$$

Crucial is the fact that $\Sigma_p^{\sharp}(T) = \Gamma_{p,0}$ unless $p \mid \det(T)$; thus, (2.2) reduces to finitely many primes p:

$$(2.3) \qquad\qquad E(T) = \prod_{p \mid \det(T)} \Gamma_{p,0} \, / \prod_{p \mid \det(T)} \Sigma_p^{\sharp}(T) \cdot \Gamma_0.$$

Hence, this group is finite. We call a prime p *irregular* with respect to T if $p \mid \det(T)$.

Consider the natural map $\mathbb{Q}^{\times}/(\mathbb{Q}^{\times})^2 \to \mathbb{Q}_p^{\times}/(\mathbb{Q}_p^{\times})^2$ inducing the projections

$$\varphi_p : \Gamma_0 \to \Gamma_{p,0}.$$

We define the invariants

$$e_p(T) := [\Gamma_{p,0} : \Sigma_p^{\sharp}(T)] \quad \text{and} \quad \tilde{\Sigma}_p(T) = \Sigma_0^{\sharp}(T \otimes \mathbb{Z}_p) := \varphi_p^{-1}(\Sigma_p^{\sharp}(T)) \subset \Gamma_0,$$

used in the following theorem.

Theorem 2.7 (Miranda–Morrison [23]). *Let T be a non-degenerate indefinite even lattice with $\mathrm{rk}(T) \geq 3$. Then there is an exact sequence*

$$(2.4) \qquad\qquad O(T) \xrightarrow{d} \mathrm{Aut}(\mathrm{discr}\, T) \xrightarrow{e} E(T) \to g(T) \to 1,$$

where $g(T)$ is the genus group of T. One has

$$(2.5) \qquad\qquad |E(T)| = \frac{e(T)}{[\Gamma_0 : \tilde{\Sigma}(T)]},$$

where

$$e(T) := \prod_{p \mid \det(T)} e_p(N) \quad \text{and} \quad \tilde{\Sigma}(T) := \bigcap_{p \mid \det(T)} \tilde{\Sigma}_p(T).$$

Algorithms computing $e_p(N)$ and $\tilde{\Sigma}_p(N)$ explicitly are given in [22]. Computations are in terms of $\mathrm{rk}\, T$, $\det T$ and $\mathrm{discr}\, T$ only, it follows that the genus group $g(T)$ determines $e_p(T)$ and $\tilde{\Sigma}_p(T)$ and also $\mathrm{Coker}(d : O(T) \to \mathrm{Aut}(\mathrm{discr}\, T))$. Computing the Miranda–Morrison group $E(T)$ is even easier than computing its constituents, $\mathrm{Coker}(d)$ and the genus group $g(T)$. Since one can read the subgroups $\tilde{\Sigma}_p(T)$ and $\Sigma_p^{\sharp}(T)$ from the tables given in [21] (see Chapter 7, Section 12), the computation is immediate.

As an unimodular even indefinite lattice is unique in its genus, one can obtain the next statement by combining the Corollary 2.5 and Theorem 2.7.

Theorem 2.8 (Miranda–Morrison [21, 22]). *Let S be a primitive sublattice of an even unimodular lattice L such that $T := S^\perp$ is a non-degenerate indefinite even lattice with $\mathrm{rk}(T) \geq 3$. Then the strict isomorphism classes of primitive extensions $S \hookrightarrow L$ are in a canonical one-to-one correspondence with the group $E(T)$.*

Given a unimodular lattice L and a primitive sublattice $S \subset L$, fix an anti-isometry $\psi \colon \mathrm{discr}\, S \to \mathrm{discr}\, T$ and consider the induced map $d^\psi \colon O(S) \to \mathrm{Aut}(\mathrm{discr}\, T)$ (see Section 2.4). If T is as in Theorem 2.8, then $\mathrm{Im}\, d \subset \mathrm{Aut}(\mathrm{discr}\, T)$ is a normal subgroup with abelian quotient, and we have a homomorphism

$$(2.6) \qquad\qquad d^\perp : O(S) \to \mathrm{Aut}(\mathrm{discr}\, T) \overset{\mathrm{e}}{\to} E(T)$$

independent of ψ. Then the next statement generalizing Theorem 2.8 follows from Theorem 2.7 and Corollary 2.5.

Corollary 2.9. *Let S be a primitive sublattice of an even unimodular lattice L such that $T := S^\perp$ is a non-degenerate indefinite even lattice with $\mathrm{rk}(T) \geq 3$, and let $G \subset O(S)$ be a subgroup. Then, the G-isomorphism classes of primitive extensions $S \hookrightarrow L$ are in a one-to-one correspondence with the $\mathbb{F}_2$-module $E(T)/d^\perp(G)$.*

Let p be a prime and consider the homomorphism

$$(2.7) \qquad\qquad \mathrm{Aut}(\mathrm{discr}\, T) = \prod_p \mathrm{Aut}(\mathrm{discr}_p T) \overset{\phi}{\to} \prod_p \Sigma_p(T)/\Sigma_p^\sharp(T),$$

which is the product of the epimorphisms $\phi_p \colon \mathrm{Aut}(\mathrm{discr}_p T) \twoheadrightarrow \Sigma_p(T)/\Sigma_p^\sharp(T)$. Note that the map

$$\mathrm{e} : \prod_p \mathrm{Aut}(\mathrm{discr}\, T) \to E(T)$$

given in (2.4) does not preserve product structures.

Remark 2.10. Crucial is the fact that the map e in (2.4) is given as $\mathrm{e} = \beta \circ \phi$, where β is the quotient projection

$$\beta : \prod_p \Sigma_p(T)/\Sigma_p^\sharp(T) \to E(T).$$

2.6. Reflections and their lifts

Let p be a prime and consider an element $a \in \mathrm{discr}_p T$ satisfying

$$(2.8) \qquad\qquad p^k a = 0 \quad \text{and} \quad a^2 = \frac{2u}{p^k} \bmod 2\mathbb{Z}, \ \gcd(u, p) = 1, \ k \in \mathbb{N}.$$

Then the map $x \mapsto 2(x \cdot a)/a^2 \bmod p^k$ is a well defined functional; thus there is a reflection $t_a \in \mathrm{Aut}(\mathrm{discr}_p T)$:

$$t_a \colon x \mapsto x - \frac{2(x \cdot a)}{a^2}\, a.$$

Note that if $2a = 0$ then $t_a = \mathrm{id}$.

The images of the homomorphism ϕ given in (2.7) can easily be computed on the reflections t_a by lifting them to the corresponding p-adic lattice: let $t_{\bar{a}}$ be a lift of t_a to the p-adic lattice, where $\bar{a} \in T \otimes \mathbb{Z}_p$ is such that $a = (\bar{a}/p^k) \mod T \otimes \mathbb{Z}_p$. Then, the image of ϕ_p on t_a is given via the *spinor norm* $\mathrm{spin}(t_a)$ introduced in [23] (see Chapter 1.10), which is essentially defined as

$$(2.9) \qquad \mathrm{spin}(t_a) = \frac{1}{2} \bar{a}^2 \mod (\mathbb{Z}_p^\times)^2.$$

The map ϕ_p is then defined as the spinor norm modulo the indeterminacy subgroup $\Sigma_p^\sharp(T)$, hence, as given in [1], one has

$$\phi_p : \mathrm{Aut}(\mathrm{discr}_p T) \twoheadrightarrow \Sigma_p(T)/\Sigma_p^\sharp(T)$$
$$(2.10) \qquad\qquad t_a \mapsto (-1, u p^k),$$

where a is as in (2.8).

To attain the goal of this paper, we need to compute the image $\phi_p(t_a)$; however, the main problem is that in our computations we do not know the lattice T, we only know its genus by Nikulin [25], hence our goal is to do this computation in terms of genus, i.e., the signature $(\sigma_+ T, \sigma_- T)$ and the finite quadratic form $\mathcal{T} = \mathrm{discr}\, T$ only. With few exceptions, while computing $\phi_p(t_a)$ as in (2.10), the value of $\bar{a}/2$ is almost always well defined whenever u is sufficiently well defined; the exceptions are treated in Section 2.6.1.

2.6.1. Principal novelty of the paper. While computing the image $\phi_p(t_a)$ in (2.10), the following two cases are exceptional and need special treatment:

(1) $p = 2$ and $a^2 = 0 \mod \mathbb{Z}$,

(2) $p = 2$ and $a^2 = 1/2 \mod \mathbb{Z}$.

In these two cases, the approach in computing the image $\phi_2(t_a)$ as in (2.10) has a disambiguation to be clarified: in (2.8), the value of u in the numerator is defined mod $2\mathbb{Z}$ for the former case, and mod $4\mathbb{Z}$ for the latter; which is not enough, as mod $(\mathbb{Z}_2^\times)^2$ is essentially mod 8 (see (2.9)). Hence, we need to actually write down the 2-adic lattice $T \oplus \mathbb{Z}_2$ and actually find a lift $\bar{a}$. To recover $T \oplus \mathbb{Z}_2$ precisely, we use the partial normal form decomposition given in [23] (see Chapter 4.4) for $\mathrm{discr}_2 T$. Basically, to lift each summand in this decomposition one by one, for each standard rational matrix, we write a standard 2-adic matrix.

However, there is another problem to be fixed when the discriminant $\mathrm{discr}_2 T$ is odd: if $\mathrm{discr}_2 T$ is odd, according to Nikulin [25], up to isomorphism there are two different 2-adic lattices T' and T'' with $\mathrm{rk}(T') = \mathrm{rk}(T'') = \ell_2(\mathrm{discr}\, T)$ and $\mathrm{discr}\, T' = \mathrm{discr}\, T'' = \mathrm{discr}_2 T$, the ratio of their determinants being $5 \in \mathbb{Z}_2^\times/(\mathbb{Z}_2^\times)^2$, see Remark 2.2. Roughly speaking, if the $\mathrm{discr}_2 T$ contains summands of the form $\langle \pm 1/2 \rangle$, then each of them lifts to either $[\pm 2]$ or $[\pm 10]$. We choose lifts arbitrarily in all such summands but one, and this one remaining lift is adjusted by using $\det T$, which is an invariant of the genus group $g(T)$: recall that $\det T = (-1)^{\sigma_-} |\mathrm{discr}\, T|$, thus the correct 2-adic lift T^* is the one satisfying $\det T^* = (-1)^{\sigma_-} |\mathrm{discr}\, T| \mod (\mathbb{Z}_2^\times)^2$.

Once the correct lift is chosen, to compute the image of $\phi_2(t_a)$, we expand the vector $a \in \mathrm{discr}_2 T$ in the basis vectors of discriminant group, take a particular lift $\bar{a} \in T \otimes \mathbb{Z}_2$

with the same coordinate vector and compute $\bar{a}^2$ honestly without reducing it mod $2\mathbb{Z}$ to finally compute the value $\bar{a}^2$ mod $(\mathbb{Z}_2^\times)^2$ given in (2.9)

Then, as in Remark 2.10, the images of the map e on the reflections $t_a \in \text{Aut}(\text{discr } T)$ can be computed via ϕ and β.

Defined and computed in [22], we introduce the group

$$(2.11) \qquad E^+(T) := \Gamma_{\mathbb{A},0} / \prod_p \Sigma_p^\sharp(T) \cdot \Gamma_0^{--},$$

where the product reduces to finitely many primes $p \mid \det T$ as in (2.3). Then one has an exact sequence

$$O^+(T) \xrightarrow{d} \text{Aut}(\text{discr } T) \xrightarrow{\text{e}^+} E^+(T) \to g(T) \to 1,$$

as in Theorem 2.7, where for the order $|E^+(T)|$ one only replaces $[\Gamma_0 : \tilde{\Sigma}(T)]$ in (2.5) with $[\Gamma_0^{--} : \tilde{\Sigma}(T) \cap \Gamma_0^{--}]$. Under this setting, as in (2.6), we have a well-defined homomorphism

$$(2.12) \qquad d_+^\perp : O(S) \to \text{Aut}(\text{discr } T) \xrightarrow{\text{e}^+} E^+(T).$$

Then for an element $a \in \text{discr}_p T$ satisfying (2.8), the image of the map e^+ on the reflection $t_a \in \text{Aut}(\text{discr}_p T)$ is given by $\text{e}^+ = \beta^+ \circ \phi^+$, where the maps ϕ and β in Remark 2.10 are replaced with ϕ^+ and β^+ by replacing the group Γ_0 and any subgroup H of it with Γ_0^{--} and $H \cap \Gamma_0^{--}$, respectively.

Remark 2.11. The ideas explained in Section 2.6.1 are not a panacea, as the group $\text{Aut}(\text{discr}_2 T)$ is not always generated by reflections. However, experimentally it turns out that lifting just reflections in $\text{Aut}(\text{discr}_2 T)$ is enough to cover all our needs, see Section 4, and furthermore, it appears that it would cover most of $K3$-related problems.

Remark 2.12. As given in [23], the spinor norm is computed in terms of reflections, i.e.,

$$\text{spin}(\tau) = \prod v_i^2 \text{ mod } (\mathbb{Z}_2^\times)^2$$

for an element $\tau \in O(T)$ such that $\tau = t_{v_1} t_{v_2} \cdots t_{v_r}$, where t_{v_i} is a reflection against $v_i \in T$. Shimada [32] uses an alternative approach: instead of decomposing an automorphism of $\text{discr}_p T$ into reflections and lifting them one by one, he lifts (rather approximates) the whole automorphism and then decomposes it into reflections.

3. $K3$-surfaces

In this section we give a brief introduction to the theory of $K3$-surfaces; for further details, we refer the interested reader to [17]. Then we discuss simple quartics as $K3$-surfaces.

3.1. K3-surfaces

A $K3$-surface over $\mathbb{C}$ is a simply connected, compact complex surface whose canonical bundle is trivial. All $K3$-surfaces are Kähler, and since any smooth complete surface is projective, $K3$-surfaces are all projective.

Even though $K3$-surfaces are those that are given in some $\mathbb{P}^n$ by a system of polynomial equations, these equations almost never enter the picture: by means of such fundamental results as the global Torelli theorem [30], the surjectivity of the period map [19] and the results of Saint-Donat [31], we identify a $K3$-surface X with its polarized Néron–Severi lattice $\mathrm{NS}(X) \ni h$ and study the latter by purely arithmetical means, see Section 2.4, Section 2.5 and Section 3.5. As is well known, the Néron–Severi lattice $\mathrm{NS}(X)$ of any projective $K3$-surface X is hyperbolic, i.e., $\sigma_+\mathrm{NS}(X) = 1$ and admits a primitive embedding

$$\mathrm{NS}(X) \subset H_2(X;\mathbb{Z}) \cong \mathbf{L} = 3\mathbf{U} \oplus 2\mathbf{E}_8,$$

where $\mathbf{L}$ is the only (up to isomorphism) even unimodular lattice of signature $(3, 19)$, see Section 2.4, hence $\mathrm{rk}\,\mathrm{NS}(X) \leq 20$.

3.2. Quartics as $K3$-surfaces

A *quartic* is a surface $X \subset \mathbb{P}^3$ of degree four. A quartic is *simple* if all its singular points are simple, i.e., those of type $\mathbf{A}$, $\mathbf{D}$ or $\mathbf{E}$, see [12]. Given a simple quartic $X \subset \mathbb{P}^3$, its minimal resolution of singularities $\tilde{X}$ is a $K3$-surface; hence, $H_2(\tilde{X}) \cong 2\mathbf{E}_8 \oplus 3\mathbf{U}$. We fix the notation $\mathbf{L}_X := H_2(\tilde{X})$.

For each simple singular point p of X, the components of the exceptional divisor over p span a root lattice in $\mathbf{L}_X$. The orthogonal sum of these sublattices, denoted by S_X, is identified with the set of singularities of X. Recall that the types of individual singular points are uniquely recovered from S_X, see Section 2.3.

In what follows, we identify homology and cohomology of $\tilde{X}$ via Poicaré duality, and introduce the following vectors and sublattices:

- $S_X \subset \mathbf{L}_X$: the sublattice generated the set of classes of exceptional divisors appearing in the blow-up map $\tilde{X} \to X$;
- $h_X \in \mathbf{L}_X$: the pull-back of the hyperplane section class in $H_2(\mathbb{P}^2)$;
- $S_{X,h} = S_X \oplus \mathbb{Z}h_X \subset \mathbf{L}_X$;
- $\tilde{S}_X := (S_X \otimes \mathbb{Q}) \cap \mathbf{L}_X$ and $\tilde{S}_{X,h} := (S_{X,h} \otimes \mathbb{Q}) \cap \mathbf{L}_X$: the primitive hulls of S_X and $S_{X,h}$, respectively; we have $\tilde{S}_X \subset \tilde{S}_{X,h} \subset \mathbf{L}_X$;
- $\omega_X \subset \mathbf{L}_X \otimes \mathbb{R}$: the oriented 2-subspace spanned by the real and imaginary parts of the class of a holomorphic 2-form on $\tilde{X}$ (the *period* of $\tilde{X}$).

Note that ω_X is positive definite and orthogonal to h_X; furthermore, the Picard group $\mathrm{Pic}\,\tilde{X}$ can be identified with the lattice $\omega_X^\perp \cap \mathbf{L}_X$. In particular $\omega_X \in \tilde{S}_X^\perp \otimes \mathbb{R}$. The rank $\mathrm{rk}(S_X)$ equals the total Milnor number $\mu(X)$. Since $S_X \subset \mathbf{L}$ is negative definite and $\sigma_-(\mathbf{L}) = 19$, one has $\mu(X) \leq 19$ (see [39], cf. [29]). If $\mu(X) = 19$, the quartic is called *maximizing*.

Given a root lattice $S \subset \mathbf{L}$, let $\tilde{S}_h := (S_h \otimes \mathbb{Q}) \cap \mathbf{L}$ be the primitive hull of $S_h := S \oplus \mathbb{Z}h$. Since $\sigma_+ \tilde{S}_h^\perp = 2$, all positive definite 2-subspaces in $\tilde{S}_h^\perp \oplus \mathbb{R}$ can be oriented in a coherent way. Let ω be one of these coherent orientations. The following statement gives a criterion for the realizability of the triple $(S, h, \mathbf{L})$ by a simple quartic $X \in \mathbb{P}^3$. It is a combination of the Saint-Donat's description [31] of projective models of $K3$-surfaces and the results of Urabe [39].

Proposition 3.1. *A triple* $(S, h, \mathbf{L})$ *is realizable by a simple quartic* $X \in \mathbb{P}^3$ (*with set of singularities* S) *if and only if the following conditions satisfied*:

(1) *each vector* $e \in (S \otimes \mathbb{Q}) \cap \tilde{S}_h$ *with* $e^2 = -2$ *and* $e \cdot h = 0$ *lies in* S,

(2) *there is no vector* $e \in \tilde{S}_h$ *such that* $e^2 = 0$ *and* $e \cdot h = 2$.

Then the oriented 2*-subspace* ω_X *defines the orientation* ω.

3.3. Configurations and L-realizations

Isomorphism classes of simple singularities are known to be in one-to-one correspondence with those of irreducible root lattices (see Dufree [12]). Hence a set of simple singularities can be identified with a root lattice, the irreducible summands of the latter correspond to the individual singularity points. Thus, the set of simple singularities of a quartic surface $X \subset \mathbb{P}^3$ can be seen as a root lattice $S \subset \mathbf{L}$.

Definition 3.2. A *configuration* is a finite index extension $\tilde{S}_h \supset S_h = S \oplus \mathbb{Z}h$, $h^2 = 4$, satisfying the following conditions:

(1) each root $r \in (S \otimes \mathbb{Q}) \cap \tilde{S}_h$ with $r^2 = -2$ is in S,

(2) $\tilde{S}_h$ does not contain an element v with $v^2 = 0$ and $v \cdot h = 2$.

An *isomorphism* between two configurations $\tilde{S}'_h, \tilde{S}''_h \supset S_h$ is an isometry $\tilde{S}'_h \to \tilde{S}''_h$ preserving both h and S (as a set). We denote by $\mathrm{Aut}_h(\tilde{S}_h)$ the group of *automorphisms* of a configuration $\tilde{S}_h$, i.e., autoisometries of $\tilde{S}_h$ preserving h. Since S is a characteristic sublattice of $\tilde{S} = h^{\perp}_{\tilde{S}_h}$, any isometry of $\tilde{S}_h$ preserving h preserves S; then by item (1) in the Definition 3.2, we have $\mathrm{Aut}_h(\tilde{S}_h) \subset O(S)$.

Definition 3.3. An $\mathbf{L}$-*realization* of a configuration $\tilde{S}_h$ is a primitive isometry $\tilde{S}_h \hookrightarrow \mathbf{L}$.

Two $\mathbf{L}$-realizations $\tilde{S}'_{h'}, \tilde{S}''_{h''} \hookrightarrow \mathbf{L}$ are said to be *isomorphic* if there is an element of the group $O(\mathbf{L})$ taking h' to h'' and S' to S'' (as a set). Let ω' and ω'' be the orientations of these two $\mathbf{L}$-realizations; then these oriented $\mathbf{L}$-realizations are called *strictly isomorphic* if there is an isomorphism between them taking ω' to ω'', An $\mathbf{L}$-realization $\tilde{S}_h \hookrightarrow \mathbf{L}$ is called *symmetric* if it is preserved by an element $a \in O_h(\mathbf{L}) \smallsetminus O_h^+(\mathbf{L})$, i.e., an autoisometry of $\mathbf{L}$ preserving S (as a set) and h and reversing the positive sign structure; such autoisometries are called as *skew-automorphisms* of the $\mathbf{L}$-realization. If an $\mathbf{L}$-realization $\tilde{S}_h \hookrightarrow \mathbf{L}$ admits an involutive skew-automorphism, it is called *reflexive*. The notion of isomorphism classes, where we ignore the orientations, may be needed to simplify the classification of $\mathbf{L}$-realizations; namely, we have the following remark.

Remark 3.4. Each isomorphism class consists of one or two strict isomorphism classes depending on whether the $\mathbf{L}$-realizations are symmetric or not, respectively.

3.4. Perturbations

Recall that a set of simple singularities can be identified with a root lattice. A *perturbation* of a set of singularities S is a primitive root sublattice S' of S. According to E. Looijenga [20], deformation classes of perturbations of an individual simple singular point of type S are in a one-to-one correspondence with the isomorphism classes of primitive

extensions $S' \hookrightarrow S$ of root lattices, see Sections 2.3 and 2.4. As shown in [13], S admits a perturbation to S' if and only if the Dynkin graph of S' is an induced subgraph of that of S. Hence, given a simple quartic X, any perturbation of X to a simple quartic X' gives rise to a perturbation of the set of singularities S of X to the set of singularities S' of X'. According to [8], the converse also holds: given a simple quartic surface X with set of singularities S, any perturbation of S to S' is realized by a perturbation of X to X' whose set of singularities is S'.

Note that a perturbation $S' \subset S$ of root lattices gives rise to a perturbation of configurations $\tilde{S}'_h \subset \tilde{S}_h$, i.e., a primitive sub-configuration. Here the isotropic subgroup $\mathcal{K}$ is inherited automatically. Thus, any **L**-realization of S gives a canonical **L**-realization of S' as one has the chain of primitive extensions $\tilde{S}'_h \subset \tilde{S}_h \subset \mathbf{L}$.

3.5. The arithmetical reduction

Two simple quartics X_0 and X_1 in $\mathbb{P}^3$ are said to be *equisingular deformation equivalent* if there exists a path X_t, $t \in [0, 1]$, in the space of simple quartics such that the Milnor number $\mu(X)$ in X_t remains constant. The deformation classification of simple quartics is based on the following statement.

Theorem 3.5 (Theorem 2.3.1 in [9]). *The map sending a simple quartic surface $X \subset \mathbb{P}^3$ to its oriented **L**-realization establishes a one to one correspondence between the set of equisingular deformation classes of quartics and that of strict isomorphism classes of oriented **L**-realizations. Complex conjugate quartics have isomorphic **L**-realizations that differ by the orientations.*

We denote by $\mathcal{X}(S)$ the equisingular deformation class corresponding to S under the bijection given in Theorem 3.5.

Proposition 3.6. *Consider an **L**-realization extending a fixed set of singularities S, and let $\mathcal{X}(S)$ be the equisingular deformation class. Then,*

- *$\mathcal{X}(S)$ in invariant under complex conjugation if and only if its **L**-realization is symmetric,*
- *$\mathcal{X}(S)$ contains a real quartic if and only if its **L**-realization is reflexive.*

According to Proposition 3.6, symmetric **L**-realizations corresponds to *real*, i.e., conjugation invariant components of $\mathcal{X}(S)$.

4. Deformation classification. Proof of Theorem 1.1

Fix a set of singularities S, and consider the corresponding 4-polarized lattice $S_h = S \oplus \mathbb{Z}$, $h^2 = 4$. Typically, the question whether the moduli space $\mathcal{X} := \mathcal{X}(S)$ is nonempty depends on the polarized lattice $\tilde{S}_h$ only. To assert that $\mathcal{X} \neq \emptyset$, and that a very general member $X \in \mathcal{X}$ has the desired geometric properties, we use the results of Nikulin [25] and Saint-Donat [31], which reduce the problem to a certain set of conditions given in Definition 3.2. According to Theorem 3.5 and Definition 3.2, a set of singularities S is realized by a simple quartic surface if and only if a configuration $\tilde{S}_h$ extending S_h admits a primitive isometry $\tilde{S}_h \hookrightarrow \mathbf{L}$. Hence the general case splits into two subcases, as finite

index extensions $S_h \subset \tilde{S}_h$ as in Definition 3.2 and primitive extensions $\tilde{S}_h \hookrightarrow \mathbf{L}$. Theorem 3.5 states that any configuration $\tilde{S}_h$ gives rise to a number of nonempty connected strata $\mathcal{X}(S)$ which are in a bijection with the isomorphism classes of primitive isometries $\tilde{S}_h \hookrightarrow \mathbf{L}$. A typical member $X \in \mathcal{X}$ has $\mathrm{NS}(X) = \tilde{S}_h$. The non-generic members X for which $\mathrm{NS}(X) \ni h$ fails to be a configuration constitute a countable union of divisors the complement of which is still connected. Hence the applications of Theorem 3.5 rely upon the following three questions:

(1) find all configurations $\tilde{S}_h$ (up to isomorphism) extending a given 4-polarized lattice S_h;

(2) detect if $\tilde{S}_h$ admits an $\mathbf{L}$-realization;

(3) list all equivalence (isomorphism) classes of the $\mathbf{L}$-realizations of $\tilde{S}_h$.

The classification of the $\mathbf{L}$-realizations of configurations $\tilde{S}_h$ extending S_h is done in four steps answering the three questions listed above.

4.1. Step 1. Enumerating the configurations $\tilde{S}_h$ extending S_h

Question (1) above is settled by Theorem 2.3, where $\tilde{S}_h$ is determined by a choice of an isotropic subgroup $\mathcal{K} \subset \mathrm{discr}\, S_h$, where we have $\mathrm{discr}\, \tilde{S}_h = \mathcal{K}^\perp / \mathcal{K}$. The connected components of the moduli space $\mathcal{X}(S)$ modulo complex conjugation $\mathrm{conj} \colon \mathbb{P}^3 \to \mathbb{P}^3$ are enumerated by the kernel $\mathcal{K}$ of the finite index extension $S_h \subset \tilde{S}_h$ in the given isomorphism class.

Remark 4.1. If $\mathcal{K} = 0$, then $\tilde{S}_h = S_h$ and one has $\mathrm{discr}\, \tilde{S}_h = \mathrm{discr}\, S \oplus \langle \frac{1}{4} \rangle$ and $\mathrm{Aut}_h(S_h) = O(S)$. This case corresponds to the classification of nonspecial quartics handled in [15].

There are examples, see Example 4.2 below, where the set of singularities S admits more than one configuration $\tilde{S}_h$.

4.2. Step 2. Detecting if $\tilde{S}_h$ admits an L-realization

Question (2), which reduces to deciding if genus $g(\tilde{S}_h^\perp) \neq 0$ (in $\mathbf{L}$) in Corollary 2.5, is settled by the existence criterion giving in Theorem 2.6. For the first part of the statement, if suffices to list (using Theorem 2.6) all configurations $\tilde{S}_h$ that extends to an $\mathbf{L}$-realization. Implementing the algorithms given in Sections 4.1 and 4.2 in GAP, we found that there are 4469 sets of realizable set of singularities, splitting into 8845 configurations, where 278 sets of singularities splitting into 347 configurations are realized by a maximizing quartic. The discussion on perturbation in the first part the statement is given in Section 4.4 below.

4.3. Step 3. Listing all isomorphism classes of L-realizations of $\tilde{S}_h$

The key to question (3) is Corollary 2.5 and to apply it we need tools to list all classes $T \in g(\tilde{S}_h^\perp)$ (assuming the latter is non-empty) and to compute (the cokernels of) the natural homomorphisms

$$d_S : O_h(\tilde{S}_h) \to \mathrm{Aut}(\mathrm{discr}\, \tilde{S}_h) \quad \text{and} \quad d_T : O(T) \to \mathrm{Aut}(\mathrm{discr}\, T).$$

There are examples, see Example 4.3 or Example 4.6 below, where the genus $g(\tilde{S}_h^{\perp})$ does contain more than one isomorphism class, or for a fixed representative $T \in g(\tilde{S}_h^{\perp})$, see Examples 4.4, 4.5 and 4.7 below, where the quotient set given in Corollary 2.5 does consist of more than one bi-coset, thus giving rise to more than one **L**-realization. Once the lattice $T = \tilde{S}_h^{\perp}$ is chosen, one can fix an anti-isometry discr $\tilde{S}_h \to$ discr T, and, hence, an isomorphism Aut discr $\tilde{S}_h =$ Aut discr T.

We investigate the isomorphism classes of **L**-realizations of $\tilde{S}_h$ (i.e., primitive isometries $\tilde{S}_h \hookrightarrow$ **L**) separately for the maximizing case (i.e., $\mu(S) = 19$) and the non-maximizing case (i.e., $\mu(S) \leq 18$), where for the lattice $T = \tilde{S}_h^{\perp}$ we use

- either Gauss's theory of binary forms [14], if T is definite of small rank,
- or Miranda–Morrison's theory [21–23], if T is indefinite.

If $\mu(\mathbf{S}) = 19$, the lattice $T = \tilde{S}_h^{\perp}$ is a positive definite sublattice of rank 2, and the numbers (r, c) of connected components of the space $\mathcal{X}(S)$ listed in Table 3 can easily be computed by the Gauss theory of binary quadratic forms [14]. Thus, throughout the rest of the proof we assume $\mu(S) \leq 18$.

If $\mu(\mathbf{S}) \leq 18$, then T is an indefinite lattice of rank rk $T \geq 3$, hence Miranda–Morrison's theory [21–23] applies, see Section 2.5, and gives us both $g(\tilde{S}_h^{\perp})$ and Coker d_T with in the single finite abelian group $E(T)$ and the natural homomorphism

$$(4.1) \qquad\qquad e : \text{Aut}(\text{discr } T) \to E(T).$$

Thus, with $\mathcal{K}$, and hence $\tilde{S}_h$ fixed, the further primitive extensions $\tilde{S}_h \hookrightarrow \mathbf{L}$ are enumerated by the cokernel of the well-defined homomorphism

$$(4.2) \qquad\qquad d^{\perp} : \text{Aut}_h(\tilde{S}_h) \to E(T),$$

see Section 2.5. In the special case $\mathcal{K} = 0$, due to the isomorphism $\text{Aut}_h \tilde{S}_h = O(S)$, we have a canonical bijection

$$\pi_0(\mathcal{X}_1(S)/\text{conj}) = \text{Coker}[d^{\perp} : O(S) \to E(T)],$$

assuming that $\tilde{S}_h = S_h$ does admit a primitive extension to **L** and taking for T any representative of the genus $S_h^{\perp}$.

Therefore, the **L**-realization $\tilde{S}_h \hookrightarrow \mathbf{L}$ is unique up to isomorphism, that is, the space $\mathcal{X}(S)/\text{conj}$ is connected if and only if the map $d^{\perp}$ is surjective.

Distinguishing between a component and its complex conjugate, by Proposition 3.6, for a fixed $\tilde{S}_h$, the component of the strata $\mathcal{X}(S)$ realizing $\tilde{S}_h$ is real if and only if the corresponding **L**-realization extending $\tilde{S}_h$ is symmetric, otherwise it consist of two complex conjugate components (asymmetric **L**-realizations exist, see Example 4.2). Thus, to enumerate the real and complex conjugate components of a strata $\mathcal{X}(S)$, one can recast (4.1) and (4.2) by replacing e with e^+ and $E(T)$ with $E^+(T)$, and conclude that for each configuration $\tilde{S}_h$, the corresponding component of the strata $\mathcal{X}(S)$ is real if and only if

$$(4.3) \qquad\qquad d_+^{\perp} : \text{Aut}_h(\tilde{S}_h) \to E^+(T)$$

is surjective. This latter statement was proved by computer aided calculations in GAP.

4.4. Step 4. Perturbations

We compute all iterated perturbations, i.e., perturbations of all families, and find out that the 390 maximizing families given in Table 3 and 39 extremal families given in Table 4 are not perturbations of anything bigger.

We have effectively implemented all algorithms described in this section in GAP [37], and have obtained conclusive results that give us, for each realizable set of singularities S, the isomorphism classes of configurations $\tilde{S}_h$ extending S_h, and for each verified configuration $\tilde{S}_h$, the strict isomorphism classes of primitive isometries $\tilde{S}_h \hookrightarrow \mathbf{L}$.

In conclusion, the implemented calculations in GAP complete the proof by first listing all the configurations $\tilde{S}_h$ extending S_h and admitting an $\mathbf{L}$-realization, and then enumerating the strict isomorphism classes of $\mathbf{L}$-realizations, i.e., computing the numbers (r, c) of the stratum $\mathcal{X}(S)$.

4.5. Examples

In this section, we show some interesting examples.

Example 4.2. The set of singularities $S = 2\mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$ admits twelve different configurations $\tilde{S}_h$ (up to isomorphism). Each of them extends to a unique (up to conjugation) $\mathbf{L}$-realization, where eleven of them is symmetric and the remaining one is not; hence $\mathcal{X}(S)$ consists of eleven real components and one pair of complex conjugate components.

Example 4.3. The set of singularities $S = \mathbf{A}_{10} \oplus \mathbf{A}_9$ admits a unique configuration $\tilde{S}_h$. It extends to two $\mathbf{L}$-realizations, which differ by the lattices $\tilde{S}_h^{\perp}$. One of the $\mathbf{L}$-realizations is symmetric, the other one is not, so that $\mathcal{X}(S)$ consists of one real component and one pair of complex conjugate components.

Example 4.4. The set of singularities $S = 2\mathbf{D}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_3$ admits a unique configuration $\tilde{S}_h$. It extends to two different $\mathbf{L}$-realizations, where the two lattices $\tilde{S}_h^{\perp}$ are isomorphic. Both $\mathbf{L}$-realizations are symmetric, hence $\mathcal{X}(S)$ consists of two real components.

Example 4.5. The set of singularities $S = \mathbf{D}_6 \oplus \mathbf{A}_9 \oplus \mathbf{A}_4$ admits a unique configuration $\tilde{S}_h$. It extends to two different $\mathbf{L}$-realizations (with isomorphic lattices $\tilde{S}_h^{\perp}$), where one of them is symmetric and the other one is not; hence $\mathcal{X}(S)$ consists of one real component and one pair of complex conjugate components.

Example 4.6. The set of singularities $S = \mathbf{A}_9 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$ admits two different configurations $\tilde{S}_h$, each of them extends to two different $\mathbf{L}$-realizations which differ by the lattices $\tilde{S}_h^{\perp}$, where one of them is symmetric and the other one is not; hence $\mathcal{X}(S)$ consists of two real components and two pairs of complex conjugate components.

Example 4.7. The set of singularities $S = \mathbf{A}_8 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$ admits a unique configuration $\tilde{S}_h$. It extends to three different $\mathbf{L}$-realizations (with isomorphic lattices $\tilde{S}_h^{\perp}$), where all of them are not symmetric; hence $\mathcal{X}(S)$ consists of three pairs of complex conjugate components.

4.6. Demonstration for the set of singularities $S = \mathbf{A_{15}} \oplus \mathbf{A_3}$

We demonstrate the calculations handled by GAP for the set of singularity $S = \mathbf{A_{15}} \oplus \mathbf{A_3}$. Let $S_h = S \oplus \mathbb{Z}h$, where $h^2 = 4$. Then one has

$$\text{discr } S_h \cong \langle -\tfrac{15}{16} \rangle \oplus \langle -\tfrac{3}{4} \rangle \oplus \langle \tfrac{1}{4} \rangle \cong (\mathbb{Z}/16\mathbb{Z}) \oplus (\mathbb{Z}/4\mathbb{Z}) \oplus (\mathbb{Z}/4\mathbb{Z}).$$

We fix the generators

$$\alpha_1 \text{ for discr } \mathbf{A_{15}} \cong \langle -\tfrac{15}{16} \rangle, \quad \alpha_2 \text{ for discr } \mathbf{A_3} \cong \langle -\tfrac{3}{4} \rangle, \quad \alpha_3 \text{ for discr } \mathbb{Z}h \cong \langle \tfrac{1}{4} \rangle,$$

and use the coordinate vector notation $[x, y, z]$ for the vector $x\alpha_1 + y\alpha_2 + z\alpha_3$.

Step 1. We determine all isotropic subgroups $\mathcal{K} \subset \text{discr } S_h$ such that the corresponding finite index extension $\tilde{S}_h$ satisfies the conditions in Definition 3.2, i.e., $\tilde{S}_h$ is a configuration extending S_h. Up to action of $O(S)$, we have three such isotropic subgroups $\mathcal{K}$ (i.e., three isomorphism classes of configurations $\tilde{S}_h$), which are given in Table 2.

Table 2. The isotropic subgroups $\mathcal{K}_i$

	Generators	
$\mathcal{K}_1$	$[8, 2, 2]$	cyclic of order 2
$\mathcal{K}_2$	$[4, 0, 2]$	cyclic of order 4
$\mathcal{K}_3$	$[12, 2, 0]$	cyclic of order 4

As the computations given in what follows repeat almost the same for all the configurations, from now on we fix the configuration $\tilde{S}_h$ as the one corresponding to the isotropic subgroup $\mathcal{K} = \mathcal{K}_1$. Then discr $\tilde{S}_h$, which is given by $\mathcal{K}^\perp / \mathcal{K}$, is generated by the vectors $\{[4, 3, 1], [4, 3, 3], [15, 3, 0]\}$ of orders 2, 2 and 16, respectively.

Step 2. Consider the orthogonal complement $T := \tilde{S}_h^\perp$ given by the signature $(2, 1)$ and discr $T = -$ discr $\tilde{S}_h$. Then, by applying Nikulin's existence theorem (Theorem 2.6), we verified that the configuration $\tilde{S}_h$ admits a primitive isometry $\tilde{S}_h \hookrightarrow \mathbf{L}$, i.e., an $\mathbf{L}$-realization.

Step 3. The lattice $T = \tilde{S}_h^\perp$ is an indefinite lattice of rank 3, hence Miranda-Morrison's theory (see Section 2.5 and Section 4.3) can be applied to enumerate the equivalence classes of primitive isometries $\tilde{S}_h \hookrightarrow \mathbf{L}$. By using (2.5), one gets $|E(T)| = 1$, and the map $d^\perp \colon \text{Aut}_h(\tilde{S}_h) \to E(T)$ is automatically surjective. Thus, as explained in Section 4.3, the space $\mathcal{X}(S)/\text{conj}$ is connected. To enumerate the real and complex conjugate components of $\mathcal{X}(S)$, we need to compute $d_+^\perp \colon \text{Aut}_h(\tilde{S}_h) \to E^+(T)$ (see (2.12)). We compute the group $E^+(T)$ directly from the definition given in (2.11), which can be restated as

$$(4.4) \qquad E^+(T) = \prod_{p \mid \det(T)} \Gamma_{p,0} \Big/ \prod_{p \mid \det(T)} \Sigma_p^\sharp(T) \cdot \Gamma_0^{--}.$$

Since we have one irregular prime $p = 2$, we obtain

$$E^+(T) = \Gamma_{2,0} \big/ \Sigma_2^\sharp(T) \cdot \Gamma_0^{--},$$

where $\Sigma_2^\sharp(T) = \{(1, 1), (-1, 7), (1, 5), (-1, 3)\}$. Thus $E^+(T) = \{\pm 1\}$.

The group $\mathcal{K}^\perp/\mathcal{K}$ is given by the following Gram matrix:

$$Q := \begin{bmatrix} 1/2 & 0 & 0 \\ 0 & 1/2 & 0 \\ 0 & 0 & 5/16 \end{bmatrix},$$

in the basis vectors $\{\beta_1, \beta_2, \beta_3\}$, where $\beta_1 = 4\alpha_1 + 3\alpha_2 + \alpha_3$, $\beta_2 = 4\alpha_1 + 3\alpha_2 + 3\alpha_3$ and $\beta_3 = 15\alpha_1 + 3\alpha_2$. From now on, the coordinate vector notation $[x, y, z]$ will be used for the vector $x\beta_1 + y\beta_2 + z\beta_3$. We are interested in the induced action of $\mathrm{Aut}_h(\tilde{S}_h)$ on the discriminant discr $T = -\mathcal{K}^\perp/\mathcal{K}$. The group $\mathrm{Aut}_h(\tilde{S}_h)$ is generated by a nontrivial symmetry of $\mathbf{A}_{15}$ and a nontrivial symmetry of $\mathbf{A}_3$ where the former give rise to a reflection t_a in discr T with $a = [1, 1, 2]$ and $a^2 = -9/4$. Thus, one has $e^+(t_a) = 1 \in E^+(T)$.

A nontrivial symmetry of $\mathbf{A}_3$ induces a reflection t_b in discr T with $b = [1, 1, 8]$ and $b^2 = -21 = 0 \bmod \mathbb{Z}$, i.e., this reflection is one of the exceptional ones listed in Section 2.6.1, Proceeding as in Section 2.6.1, we lift the reflection t_b to $t_{\bar{b}}$ in a 2-adic lattice $T \otimes \mathbb{Z}_2$.

Since the discriminant discr T is odd, the algorithm given in Section 2.6.1 gives us the following two candidate lattices, which agree by Nikulin [25]:

$$T' = \begin{bmatrix} -2 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & -90 \end{bmatrix} \quad \text{and} \quad T'' = \begin{bmatrix} -10 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & -90 \end{bmatrix}.$$

We choose the second one as the lift since one has $\det T'' = -|\operatorname{discr} T| \bmod (\mathbb{Z}_2^\times)^2$. For the purpose of computing the image of e^+ on the reflection $t_b \in \mathrm{Aut}(\operatorname{discr} T)$ by lifting it to $t_{\bar{b}}$, we replace the first entry of $-Q$ with $-5/2$. Then one gets $\bar{b}^2 = -23$, see (2.9), and hence, $e^+(t_{\bar{b}}) = -1 \in E^+(T)$. Thus the map $d_+^\perp$ is surjective implying that the strata $\mathcal{X}(S)$ corresponding to $\tilde{S}_h$ consists of one real component.

4.7. Concluding remarks

Clearly, any connected component $C \subset \mathcal{X}_*(S)$ containing a real curve is real. However, the converse in not true: the known counterexamples are discovered in the realm of irreducible sextics and nonspecial quartics; where the exception for the former is the stratum $\mathcal{X}_1(\mathbf{A}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_5)$ found by Akyol and Degtyarev [1] and for the latter is the strata $\mathcal{X}_1(\mathbf{A}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2)$ and $\mathcal{X}_1(\mathbf{D}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2)$ found by Güneş Aktaş [16]. It is worth mentioning that studying phenomena of this kind in the whole space of simple sextics or simple quartics would only need an extension of the algorithms, studied in [1] and [16], for both of which one has $\mathcal{K} = 0$; the extension should be provided in such a way that the kernels $\mathcal{K} \neq 0$ are also taken into account. This nontrivial case is still open for simple quartics.

4.8. Tables

This subsection is devoted to present the tables referred in Theorem 1.1. In Table 3, Table 4 and Table 5, the first column refers the set of simple singularities S which are realized by the families of quartics belonging to the spaces indicated in the table names. For each set

of singularities S, the column (r, c) gives the numbers of real (r) and pairs of complex conjugate (c) components of the stratum $\mathcal{X}(S)$ separately for each configuration $\tilde{S}_h$, i.e., for a fixed S, the numbers (r, c) are aligned in a separate line for each different configuration $\tilde{S}_h$ extending S_h. The third column, titled as "generators of kernels", gives the description of each separate configuration $\tilde{S}_h$ by listing the generators of the kernel $\mathcal{K}$, as each $\tilde{S}_h$ is determined by a choice of $\mathcal{K} \subset \operatorname{discr} S_h = \operatorname{discr} S \oplus \langle \frac{1}{4} \rangle$. The generators of $\mathcal{K}$ are encoded by using the *glue code* and *glue vectors* introduced in Conway and Sloane [5] (see Chapter 16.1). The only difference it that one more entry for the discriminant group $\langle \frac{1}{4} \rangle$ corresponding to the polarization h, is added to the end of the each glue vector. To save more space, the brackets of the basis vectors are removed, instead different vectors are separated by a semicolon and only in the cases where a coefficient with two digits appear in the basis vector, comma is used to distinguish the entries.

Remark 4.8. The generators of the kernel of the real equisingular deformation family $\mathcal{X}(S)$ with $S = 4\mathbf{D}_4 \oplus 3\mathbf{A}_1$ listed in Table 3 are removed as they are too long to fit in the line. The display of the generators in the third column is as follows:

$$21201010; \; 30031102; \; 00110112; \; 23021100; \; 22000112.$$

Remark 4.9. The generators of the kernel of the real equisingular deformation family $\mathcal{X}(S)$ with $S = 16\mathbf{A}_1$ listed in the first row of Table 4 are removed as they are too long to fit in the table. This quartic, known as the *Kummer quartic*, is described in [24], and is explicitly generated by

$$00000111110010002; \; 01000011001101110; \; 00100101101111000;$$
$$00001101110001110; \; 00011111000110010; \; 11110010001110000.$$

Remark 4.10. The generators of the kernel of the real equisingular deformation family $\mathcal{X}(S)$ with $S = 3\mathbf{D}_4 \oplus 6\mathbf{A}_1$ listed in Table 4 are removed as they are too long to fit in the line. The display of the generators in the third column is as follows:

$$0330100012; \; 0110011002; \; 2200001012; \; 3010000112; \; 0001111112.$$

Table 3. The space $\mathcal{X}(S)$ with $\mu(S) = 19$

Singularities	(r, c)	Generators of kernels
$6\mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	13030001; 21313000; 30013302
$\mathbf{A}_4 \oplus 5\mathbf{A}_3$	$(1, 0)$	0312211; 0003313
$4\mathbf{A}_4 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	3344000
$\mathbf{A}_5 \oplus \mathbf{A}_4 \oplus 3\mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	3013311; 3002012
$3\mathbf{A}_5 \oplus 4\mathbf{A}_1$	$(1, 0)$	30010112; 03310010; 30301010; 44200000
$3\mathbf{A}_5 \oplus 2\mathbf{A}_2$	$(1, 0)$	303002; 204220; 444000
$3\mathbf{A}_5 \oplus \mathbf{A}_4$	$(1, 0)$	03302; 24200
$\mathbf{A}_6 \oplus \mathbf{A}_4 \oplus 3\mathbf{A}_3$	$(1, 0)$	003331
Continued on next page		

Table 3 – continued from previous page

Singularities	(r,c)	Generators of kernels
$\mathbf{A}_6 \oplus 2\mathbf{A}_4 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(2,0)$	
$\mathbf{A}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_4 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(2,0)$	030212
$\mathbf{A}_6 \oplus 2\mathbf{A}_5 \oplus \mathbf{A}_3$	$(1,0)$	03320
	$(1,0)$	03302
$2\mathbf{A}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(0,1)$	
$2\mathbf{A}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_2$	$(2,0)$	
$3\mathbf{A}_6 \oplus \mathbf{A}_1$	$(2,0)$	53600
$\mathbf{A}_7 \oplus 3\mathbf{A}_3 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1,0)$	0331003; 2031002
$\mathbf{A}_7 \oplus 4\mathbf{A}_3$	$(0,1)$	602233; 003313
	$(1,0)$	222031; 003313
	$(1,0)$	031112; 601302
$\mathbf{A}_7 \oplus \mathbf{A}_4 \oplus 2\mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1,0)$	2031110; 4000112
	$(1,0)$	2001003; 0022112
$\mathbf{A}_7 \oplus \mathbf{A}_4 \oplus 2\mathbf{A}_3 \oplus \mathbf{A}_2$	$(1,0)$	201102
	$(0,1)$	200301
$\mathbf{A}_7 \oplus 2\mathbf{A}_4 \oplus \mathbf{A}_2 \oplus 2\mathbf{A}_1$	$(1,0)$	4000112
$\mathbf{A}_7 \oplus 2\mathbf{A}_4 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1,0)$	600103
$\mathbf{A}_7 \oplus \mathbf{A}_5 \oplus 2\mathbf{A}_3 \oplus \mathbf{A}_1$	$(2,0)$	600103; 430010
	$(1,0)$	601302; 430010
$\mathbf{A}_7 \oplus \mathbf{A}_5 \oplus \mathbf{A}_4 \oplus 3\mathbf{A}_1$	$(1,0)$	0301112; 4300010
$\mathbf{A}_7 \oplus \mathbf{A}_5 \oplus \mathbf{A}_4 \oplus \mathbf{A}_3$	$(1,0)$	20033
$\mathbf{A}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(2,0)$	603001
$\mathbf{A}_7 \oplus \mathbf{A}_6 \oplus 2\mathbf{A}_3$	$(0,2)$	60033
	$(0,1)$	60112
$\mathbf{A}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_4 \oplus 2\mathbf{A}_1$	$(1,0)$	400112
$\mathbf{A}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_2$	$(0,1)$	
$\mathbf{A}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(0,1)$	40310
$\mathbf{A}_7 \oplus 2\mathbf{A}_6$	$(0,2)$	
$2\mathbf{A}_7 \oplus \mathbf{A}_2 \oplus 3\mathbf{A}_1$	$(1,0)$	1501111; 4000112
$2\mathbf{A}_7 \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(0,1)$	621103; 042110
	$(1,0)$	770103; 042110
	$(1,0)$	771102; 400112
	$(1,0)$	710103; 641003
$2\mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(0,1)$	64303
	$(0,1)$	60101
	$(1,0)$	64303; 44000
	$(1,0)$	64303; 66002
$2\mathbf{A}_7 \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(1,0)$	77011
$2\mathbf{A}_7 \oplus \mathbf{A}_5$	$(1,0)$	7333
$\mathbf{A}_8 \oplus 3\mathbf{A}_3 \oplus \mathbf{A}_2$	$(1,0)$	033303
$\mathbf{A}_8 \oplus \mathbf{A}_5 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(0,1)$	032012; 640100
$\mathbf{A}_8 \oplus \mathbf{A}_5 \oplus \mathbf{A}_4 \oplus \mathbf{A}_2$	$(2,0)$	34020
Continued on next page		

Table 3 – continued from previous page

Singularities	(r, c)	Generators of kernels
$\mathbf{A}_8 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(0, 3)$	
$\mathbf{A}_8 \oplus \mathbf{A}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(0, 1)$	
$\mathbf{A}_8 \oplus \mathbf{A}_6 \oplus \mathbf{A}_5$	$(1, 1)$	
$\mathbf{A}_8 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(2, 0)$	06303
$2\mathbf{A}_8 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 1)$	33000
$\mathbf{A}_9 \oplus \mathbf{A}_4 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 1)$	502010
	$(1, 1)$	500012
$\mathbf{A}_9 \oplus 2\mathbf{A}_4 \oplus 2\mathbf{A}_1$	$(1, 0)$	500012; 644000
$\mathbf{A}_9 \oplus 2\mathbf{A}_4 \oplus \mathbf{A}_2$	$(1, 0)$	41100
$\mathbf{A}_9 \oplus \mathbf{A}_5 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(2, 0)$	53000
$\mathbf{A}_9 \oplus 2\mathbf{A}_5$	$(0, 1)$	5030
	$(1, 0)$	0332
$\mathbf{A}_9 \oplus \mathbf{A}_6 \oplus \mathbf{A}_2 \oplus 2\mathbf{A}_1$	$(1, 0)$	500102
$\mathbf{A}_9 \oplus \mathbf{A}_6 \oplus 2\mathbf{A}_2$	$(1, 0)$	
$\mathbf{A}_9 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 1)$	50210
	$(1, 1)$	50012
$\mathbf{A}_9 \oplus \mathbf{A}_7 \oplus 3\mathbf{A}_1$	$(1, 0)$	501110; 040112
$\mathbf{A}_9 \oplus \mathbf{A}_7 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(0, 1)$	50012
$\mathbf{A}_9 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3$	$(2, 0)$	0633
$\mathbf{A}_9 \oplus \mathbf{A}_8 \oplus 2\mathbf{A}_1$	$(0, 1)$	50102
$\mathbf{A}_9 \oplus \mathbf{A}_8 \oplus \mathbf{A}_2$	$(1, 1)$	
$2\mathbf{A}_9 \oplus \mathbf{A}_1$	$(1, 0)$	5012; 8600
	$(1, 0)$	5502; 8600
$\mathbf{A}_{10} \oplus 3\mathbf{A}_3$	$(1, 0)$	03331
$\mathbf{A}_{10} \oplus \mathbf{A}_4 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(0, 1)$	
$\mathbf{A}_{10} \oplus \mathbf{A}_5 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	03212
$\mathbf{A}_{10} \oplus \mathbf{A}_5 \oplus \mathbf{A}_4$	$(1, 0)$	
$\mathbf{A}_{10} \oplus \mathbf{A}_6 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	
$\mathbf{A}_{10} \oplus \mathbf{A}_6 \oplus \mathbf{A}_3$	$(0, 2)$	
$\mathbf{A}_{10} \oplus \mathbf{A}_7 \oplus 2\mathbf{A}_1$	$(1, 0)$	04112
$\mathbf{A}_{10} \oplus \mathbf{A}_7 \oplus \mathbf{A}_2$	$(0, 2)$	
$\mathbf{A}_{10} \oplus \mathbf{A}_8 \oplus \mathbf{A}_1$	$(0, 1)$	
$\mathbf{A}_{10} \oplus \mathbf{A}_9$	$(1, 1)$	
$\mathbf{A}_{11} \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	300001; 402100
	$(1, 0)$	310002; 402100
$\mathbf{A}_{11} \oplus 2\mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	311011; 022112
$\mathbf{A}_{11} \oplus 2\mathbf{A}_3 \oplus \mathbf{A}_2$	$(1, 0)$	92201
	$(1, 0)$	30003
	$(0, 1)$	33002
	$(0, 1)$	33200
$\mathbf{A}_{11} \oplus \mathbf{A}_4 \oplus 2\mathbf{A}_2$	$(1, 0)$	80120
	$(1, 0)$	60002; 80120

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Table 3 – continued from previous page

Singularities	(r, c)	Generators of kernels
	$(1, 0)$	30001; 80120
$\mathbf{A}_{11} \oplus \mathbf{A}_4 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	90001
	$(1, 0)$	90102
$\mathbf{A}_{11} \oplus \mathbf{A}_5 \oplus 3\mathbf{A}_1$	$(1, 0)$	031112; 600110; 820000
$\mathbf{A}_{11} \oplus \mathbf{A}_5 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	93011; 82000
	$(1, 0)$	90001; 82000
$\mathbf{A}_{11} \oplus \mathbf{A}_5 \oplus \mathbf{A}_3$	$(1, 0)$	9001
	$(1, 0)$	9001; 8200
	$(1, 0)$	3032
	$(1, 0)$	3032; 8200
$\mathbf{A}_{11} \oplus \mathbf{A}_6 \oplus 2\mathbf{A}_1$	$(0, 1)$	60110
	$(1, 0)$	30001
$\mathbf{A}_{11} \oplus \mathbf{A}_6 \oplus \mathbf{A}_2$	$(0, 2)$	
	$(0, 1)$	6002
	$(2, 0)$	9003
$\mathbf{A}_{11} \oplus \mathbf{A}_7 \oplus \mathbf{A}_1$	$(1, 0)$	9403
	$(1, 0)$	9003
	$(0, 1)$	9613
$\mathbf{A}_{11} \oplus \mathbf{A}_8$	$(1, 0)$	903
$\mathbf{A}_{12} \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_2$	$(2, 0)$	
$\mathbf{A}_{12} \oplus \mathbf{A}_4 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(0, 1)$	
$\mathbf{A}_{12} \oplus \mathbf{A}_5 \oplus \mathbf{A}_2$	$(1, 1)$	
$\mathbf{A}_{12} \oplus \mathbf{A}_6 \oplus \mathbf{A}_1$	$(1, 1)$	
$\mathbf{A}_{13} \oplus \mathbf{A}_3 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(0, 1)$	70010
$\mathbf{A}_{13} \oplus \mathbf{A}_4 \oplus 2\mathbf{A}_1$	$(1, 0)$	70100
$\mathbf{A}_{13} \oplus \mathbf{A}_4 \oplus \mathbf{A}_2$	$(1, 0)$	
$\mathbf{A}_{13} \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(1, 0)$	7302
	$(1, 0)$	7010
$\mathbf{A}_{13} \oplus \mathbf{A}_6$	$(0, 2)$	
$\mathbf{A}_{14} \oplus 2\mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	52000
$\mathbf{A}_{14} \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(0, 2)$	
	$(0, 1)$	5020
$\mathbf{A}_{14} \oplus \mathbf{A}_5$	$(0, 2)$	
$\mathbf{A}_{15} \oplus \mathbf{A}_2 \oplus 2\mathbf{A}_1$	$(1, 0)$	20101
$\mathbf{A}_{15} \oplus 2\mathbf{A}_2$	$(0, 1)$	
	$(1, 0)$	8000
	$(1, 0)$	4002
$\mathbf{A}_{15} \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	12,3,1,3
	$(1, 0)$	10,3,1,2
	$(1, 0)$	10,0,1,3
$\mathbf{A}_{15} \oplus \mathbf{A}_4$	$(1, 0)$	12,0,2
$\mathbf{A}_{16} \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	

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Table 3 – continued from previous page

Singularities	(r, c)	Generators of kernels
$\mathbf{A}_{17} \oplus 2\mathbf{A}_1$	$(1, 0)$	9102
	$(1, 0)$	9102; 6000
$\mathbf{A}_{17} \oplus \mathbf{A}_2$	$(1, 1)$	
	$(1, 0)$	12,0,0
$\mathbf{A}_{18} \oplus \mathbf{A}_1$	$(1, 1)$	
$\mathbf{A}_{19}$	$(1, 0)$	10,2
$\mathbf{D}_4 \oplus 5\mathbf{A}_3$	$(1, 0)$	2102113; 2031110; 2002022
$\mathbf{D}_4 \oplus 2\mathbf{A}_5 \oplus 2\mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	2330000; 3300012; 0442200
$\mathbf{D}_4 \oplus 2\mathbf{A}_5 \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	1302010; 2030012; 2300102
$\mathbf{D}_4 \oplus 2\mathbf{A}_5 \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(1, 0)$	333000; 203012
$\mathbf{D}_4 \oplus 3\mathbf{A}_5$	$(1, 0)$	13030; 23300; 02420
$\mathbf{D}_4 \oplus \mathbf{A}_7 \oplus 2\mathbf{A}_3 \oplus \mathbf{A}_2$	$(1, 0)$	063003; 240200
	$(1, 0)$	223300; 202202
$\mathbf{D}_4 \oplus \mathbf{A}_7 \oplus \mathbf{A}_4 \oplus 2\mathbf{A}_2$	$(1, 0)$	240002
$\mathbf{D}_4 \oplus \mathbf{A}_7 \oplus \mathbf{A}_5 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	103012; 140002
$\mathbf{D}_4 \oplus \mathbf{A}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_2$	$(1, 0)$	24002
$\mathbf{D}_4 \oplus 2\mathbf{A}_7 \oplus \mathbf{A}_1$	$(1, 0)$	37511; 34002
$\mathbf{D}_4 \oplus \mathbf{A}_9 \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(1, 0)$	35302; 20312
$\mathbf{D}_4 \oplus \mathbf{A}_{11} \oplus 2\mathbf{A}_2$	$(1, 0)$	26000; 04210
	$(1, 0)$	09001; 04210
$2\mathbf{D}_4 \oplus 3\mathbf{A}_3 \oplus \mathbf{A}_2$	$(1, 0)$	1313303; 3220200; 1300202
$4\mathbf{D}_4 \oplus 3\mathbf{A}_1$	$(1, 0)$	see Remark 4.8
$\mathbf{D}_5 \oplus 2\mathbf{A}_7$	$(1, 0)$	1730; 2042
$\mathbf{D}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_4 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	300212; 230012
	$(1, 0)$	300212; 230210
$\mathbf{D}_6 \oplus \mathbf{A}_5 \oplus 2\mathbf{A}_4$	$(1, 0)$	33002
$\mathbf{D}_6 \oplus 2\mathbf{A}_5 \oplus \mathbf{A}_3$	$(1, 0)$	33002; 23300
	$(1, 0)$	33020; 23300
$\mathbf{D}_6 \oplus \mathbf{A}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_2$	$(2, 0)$	10302
$\mathbf{D}_6 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	063001; 102012
$\mathbf{D}_6 \oplus \mathbf{A}_7 \oplus 2\mathbf{A}_3$	$(1, 0)$	22233; 24200
	$(1, 0)$	06332; 20222
$\mathbf{D}_6 \oplus \mathbf{A}_7 \oplus \mathbf{A}_4 \oplus \mathbf{A}_2$	$(1, 0)$	24002
$\mathbf{D}_6 \oplus \mathbf{A}_7 \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(0, 1)$	34010; 14302
	$(1, 0)$	24002; 04310
$\mathbf{D}_6 \oplus \mathbf{A}_7 \oplus \mathbf{A}_6$	$(0, 1)$	2402
$\mathbf{D}_6 \oplus \mathbf{A}_8 \oplus \mathbf{A}_5$	$(1, 1)$	1032
$\mathbf{D}_6 \oplus \mathbf{A}_9 \oplus 2\mathbf{A}_2$	$(1, 0)$	15000
$\mathbf{D}_6 \oplus \mathbf{A}_9 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	15202; 30212
$\mathbf{D}_6 \oplus \mathbf{A}_9 \oplus \mathbf{A}_4$	$(1, 1)$	1500
$\mathbf{D}_6 \oplus \mathbf{A}_{11} \oplus \mathbf{A}_2$	$(0, 1)$	2600
	$(1, 0)$	0301

Table 3 – continued from previous page

Singularities	(r, c)	Generators of kernels
$\mathbf{D}_6 \oplus \mathbf{A}_{13}$	$(0, 1)$	172
$\mathbf{D}_6 \oplus \mathbf{D}_4 \oplus \mathbf{A}_5 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	313202; 330012; 110210
	$(1, 0)$	313000; 330012; 110210
$\mathbf{D}_6 \oplus 2\mathbf{D}_4 \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	1102100; 2120110; 2330002; 2212000
$\mathbf{D}_6 \oplus \mathbf{D}_5 \oplus \mathbf{A}_7 \oplus \mathbf{A}_1$	$(1, 0)$	23213; 12012
$2\mathbf{D}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_3$	$(2, 0)$	33020; 22022
$2\mathbf{D}_6 \oplus \mathbf{A}_5 \oplus 2\mathbf{A}_1$	$(1, 0)$	110110; 033110; 203102
$2\mathbf{D}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_2$	$(1, 0)$	10302; 33002
$2\mathbf{D}_6 \oplus \mathbf{A}_7$	$(1, 0)$	1102; 3342
$2\mathbf{D}_6 \oplus \mathbf{D}_4 \oplus 3\mathbf{A}_1$	$(1, 0)$	1121102; 2031102; 0310102; 1010012
$2\mathbf{D}_6 \oplus \mathbf{D}_4 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	121010; 303012; 031012
$2\mathbf{D}_6 \oplus \mathbf{D}_5 \oplus 2\mathbf{A}_1$	$(1, 0)$	212100; 032012; 302102
$3\mathbf{D}_6 \oplus \mathbf{A}_1$	$(1, 0)$	31200; 23300; 20112
	$(1, 0)$	31200; 13002; 30302
$\mathbf{D}_7 \oplus 4\mathbf{A}_3$	$(1, 0)$	110321; 103213
	$(1, 0)$	113122; 010313
$\mathbf{D}_7 \oplus 2\mathbf{A}_4 \oplus 2\mathbf{A}_2$	$(1, 0)$	
$\mathbf{D}_7 \oplus \mathbf{A}_5 \oplus \mathbf{A}_4 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(0, 1)$	230012
$\mathbf{D}_7 \oplus 2\mathbf{A}_5 \oplus 2\mathbf{A}_1$	$(1, 0)$	033110; 203012
$\mathbf{D}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_2$	$(0, 1)$	
$\mathbf{D}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(2, 0)$	20312
$\mathbf{D}_7 \oplus 2\mathbf{A}_6$	$(0, 1)$	
$\mathbf{D}_7 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	123112; 202112
	$(1, 0)$	120113; 202112
	$(1, 0)$	063001; 202112
$\mathbf{D}_7 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(0, 1)$	16100
	$(0, 1)$	16203
	$(0, 1)$	02301
$\mathbf{D}_7 \oplus \mathbf{A}_9 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	25010
	$(1, 0)$	05012
$\mathbf{D}_7 \oplus \mathbf{A}_{10} \oplus \mathbf{A}_2$	$(0, 1)$	
$\mathbf{D}_7 \oplus \mathbf{A}_{11} \oplus \mathbf{A}_1$	$(1, 0)$	1900
	$(1, 0)$	0903
$\mathbf{D}_7 \oplus \mathbf{D}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(1, 0)$	23300; 21012
	$(1, 0)$	03302; 21012
$\mathbf{D}_7 \oplus 2\mathbf{D}_6$	$(1, 0)$	2222; 0132
$2\mathbf{D}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(1, 0)$	11101
$\mathbf{D}_8 \oplus 3\mathbf{A}_3 \oplus \mathbf{A}_2$	$(1, 0)$	313103; 302002
$\mathbf{D}_8 \oplus \mathbf{A}_5 \oplus \mathbf{A}_3 \oplus 3\mathbf{A}_1$	$(1, 0)$	3301000; 2021012; 1001102
	$(1, 0)$	3321002; 2021012; 1001102
$\mathbf{D}_8 \oplus \mathbf{A}_5 \oplus \mathbf{A}_4 \oplus 2\mathbf{A}_1$	$(1, 0)$	330100; 100112
$\mathbf{D}_8 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(0, 1)$	30202

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Table 3 – continued from previous page

Singularities	(r, c)	Generators of kernels
$\mathbf{D_8} \oplus \mathbf{A_7} \oplus \mathbf{A_2} \oplus 2\mathbf{A_1}$	$(1, 0)$	300112; 040112
$\mathbf{D_8} \oplus \mathbf{A_7} \oplus \mathbf{A_3} \oplus \mathbf{A_1}$	$(1, 0)$	36103; 30202
$\mathbf{D_8} \oplus \mathbf{A_9} \oplus 2\mathbf{A_1}$	$(1, 0)$	10112; 35102
$\mathbf{D_8} \oplus \mathbf{D_4} \oplus \mathbf{A_3} \oplus 2\mathbf{A_2}$	$(1, 0)$	122000; 232002
$\mathbf{D_8} \oplus \mathbf{D_4} \oplus \mathbf{A_5} \oplus 2\mathbf{A_1}$	$(1, 0)$	120110; 310002; 223100
$\mathbf{D_8} \oplus \mathbf{D_5} \oplus \mathbf{A_5} \oplus \mathbf{A_1}$	$(1, 0)$	02312; 30310
$\mathbf{D_8} \oplus \mathbf{D_6} \oplus \mathbf{A_3} \oplus 2\mathbf{A_1}$	$(1, 0)$	110010; 232010; 300112
	$(1, 0)$	110010; 230012; 302110
$\mathbf{D_8} \oplus \mathbf{D_6} \oplus \mathbf{A_4} \oplus \mathbf{A_1}$	$(1, 0)$	21012; 13010
$\mathbf{D_8} \oplus \mathbf{D_6} \oplus \mathbf{A_5}$	$(1, 0)$	2130; 1332
$\mathbf{D_8} \oplus \mathbf{D_6} \oplus \mathbf{D_4} \oplus \mathbf{A_1}$	$(1, 0)$	11212; 03112; 30102
$\mathbf{D_8} \oplus \mathbf{D_7} \oplus 2\mathbf{A_2}$	$(1, 0)$	32002
$2\mathbf{D_8} \oplus 3\mathbf{A_1}$	$(1, 0)$	221012; 300112; 010112
$2\mathbf{D_8} \oplus \mathbf{A_2} \oplus \mathbf{A_1}$	$(1, 0)$	31000; 12002
$\mathbf{D_9} \oplus \mathbf{A_5} \oplus \mathbf{A_4} \oplus \mathbf{A_1}$	$(1, 0)$	23012
$\mathbf{D_9} \oplus 2\mathbf{A_5}$	$(1, 0)$	2330
$\mathbf{D_9} \oplus \mathbf{A_6} \oplus 2\mathbf{A_2}$	$(1, 0)$	
$\mathbf{D_9} \oplus \mathbf{A_7} \oplus \mathbf{A_2} \oplus \mathbf{A_1}$	$(1, 0)$	32013
$\mathbf{D_9} \oplus \mathbf{A_9} \oplus \mathbf{A_1}$	$(0, 1)$	2510
$\mathbf{D_{10}} \oplus \mathbf{A_4} \oplus 2\mathbf{A_2} \oplus \mathbf{A_1}$	$(1, 0)$	300012
$\mathbf{D_{10}} \oplus \mathbf{A_4} \oplus \mathbf{A_3} \oplus 2\mathbf{A_1}$	$(2, 0)$	202112; 300102
$\mathbf{D_{10}} \oplus \mathbf{A_5} \oplus \mathbf{A_2} \oplus 2\mathbf{A_1}$	$(1, 0)$	130000; 300102
$\mathbf{D_{10}} \oplus \mathbf{A_5} \oplus \mathbf{A_3} \oplus \mathbf{A_1}$	$(1, 0)$	13202; 30210
	$(1, 0)$	13000; 30210
	$(1, 0)$	13202; 30012
	$(1, 0)$	13000; 30012
$\mathbf{D_{10}} \oplus \mathbf{A_5} \oplus \mathbf{A_4}$	$(1, 0)$	3300
$\mathbf{D_{10}} \oplus \mathbf{A_6} \oplus \mathbf{A_2} \oplus \mathbf{A_1}$	$(1, 0)$	30012
$\mathbf{D_{10}} \oplus \mathbf{A_7} \oplus 2\mathbf{A_1}$	$(0, 1)$	24110; 14012
$\mathbf{D_{10}} \oplus \mathbf{A_7} \oplus \mathbf{A_2}$	$(1, 0)$	2402
$\mathbf{D_{10}} \oplus \mathbf{A_8} \oplus \mathbf{A_1}$	$(1, 0)$	1012
$\mathbf{D_{10}} \oplus \mathbf{A_9}$	$(1, 0)$	152
$\mathbf{D_{10}} \oplus \mathbf{D_6} \oplus \mathbf{A_2} \oplus \mathbf{A_1}$	$(1, 0)$	33000; 10012
$\mathbf{D_{10}} \oplus \mathbf{D_6} \oplus \mathbf{A_3}$	$(1, 0)$	3100; 1322
$\mathbf{D_{10}} \oplus \mathbf{D_7} \oplus 2\mathbf{A_1}$	$(1, 0)$	22112; 10102
$\mathbf{D_{10}} \oplus \mathbf{D_8} \oplus \mathbf{A_1}$	$(1, 0)$	2302; 1112
$\mathbf{D_{11}} \oplus 2\mathbf{A_3} \oplus \mathbf{A_2}$	$(1, 0)$	11101
$\mathbf{D_{11}} \oplus \mathbf{A_6} \oplus \mathbf{A_2}$	$(0, 1)$	
$\mathbf{D_{11}} \oplus \mathbf{A_7} \oplus \mathbf{A_1}$	$(1, 0)$	3203
$\mathbf{D_{12}} \oplus \mathbf{A_3} \oplus 2\mathbf{A_2}$	$(1, 0)$	32000
	$(1, 0)$	30002
$\mathbf{D_{12}} \oplus \mathbf{A_4} \oplus \mathbf{A_2} \oplus \mathbf{A_1}$	$(1, 0)$	10002

Table 3 – continued from previous page

Singularities	(r, c)	Generators of kernels
$\mathbf{D}_{12} \oplus \mathbf{A}_5 \oplus 2\mathbf{A}_1$	$(1, 0)$	10110; 33102
$\mathbf{D}_{12} \oplus \mathbf{A}_5 \oplus \mathbf{A}_2$	$(1, 0)$	3002
$\mathbf{D}_{12} \oplus \mathbf{A}_6 \oplus \mathbf{A}_1$	$(0, 1)$	3002
$\mathbf{D}_{12} \oplus \mathbf{D}_6 \oplus \mathbf{A}_1$	$(1, 0)$	1200; 3112
$\mathbf{D}_{13} \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(1, 0)$	2312
$\mathbf{D}_{14} \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	22112; 12012
$\mathbf{D}_{14} \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(1, 0)$	1010
$\mathbf{D}_{14} \oplus \mathbf{A}_5$	$(1, 0)$	132
$\mathbf{D}_{15} \oplus 2\mathbf{A}_2$	$(1, 0)$	
$\mathbf{D}_{16} \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	3000
$\mathbf{D}_{18} \oplus \mathbf{A}_1$	$(1, 0)$	312
$\mathbf{E}_6 \oplus \mathbf{A}_{11} \oplus 2\mathbf{A}_1$	$(1, 0)$	03001; 18000
$\mathbf{E}_6 \oplus \mathbf{A}_{11} \oplus \mathbf{A}_2$	$(1, 0)$	0301; 1800
$\mathbf{E}_6 \oplus \mathbf{A}_{12} \oplus \mathbf{A}_1$	$(1, 0)$	
$\mathbf{E}_6 \oplus \mathbf{A}_{13}$	$(1, 0)$	
$\mathbf{E}_6 \oplus \mathbf{D}_8 \oplus \mathbf{D}_5$	$(1, 0)$	0122
$\mathbf{E}_6 \oplus \mathbf{D}_9 \oplus \mathbf{A}_4$	$(1, 0)$	
$\mathbf{E}_6 \oplus \mathbf{D}_{10} \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	01012
$\mathbf{E}_6 \oplus \mathbf{D}_{12} \oplus \mathbf{A}_1$	$(1, 0)$	0302
$\mathbf{E}_6 \oplus \mathbf{D}_{13}$	$(1, 0)$	
$2\mathbf{E}_6 \oplus \mathbf{D}_7$	$(1, 0)$	
$3\mathbf{E}_6 \oplus \mathbf{A}_1$	$(1, 0)$	21100
$\mathbf{E}_7 \oplus 2\mathbf{A}_4 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	100212
$\mathbf{E}_7 \oplus \mathbf{A}_5 \oplus \mathbf{A}_4 \oplus \mathbf{A}_3$	$(1, 0)$	13002
	$(1, 0)$	13020
$\mathbf{E}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_2$	$(1, 0)$	
$\mathbf{E}_7 \oplus \mathbf{A}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(1, 0)$	10302
$\mathbf{E}_7 \oplus 2\mathbf{A}_6$	$(0, 1)$	
$\mathbf{E}_7 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	063001; 102102
$\mathbf{E}_7 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(1, 0)$	06103
$\mathbf{E}_7 \oplus \mathbf{A}_7 \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(0, 1)$	14010
$\mathbf{E}_7 \oplus \mathbf{A}_7 \oplus \mathbf{A}_5$	$(0, 1)$	1032
$\mathbf{E}_7 \oplus \mathbf{A}_8 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	10212
$\mathbf{E}_7 \oplus \mathbf{A}_8 \oplus \mathbf{A}_4$	$(2, 0)$	
$\mathbf{E}_7 \oplus \mathbf{A}_9 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	15000
	$(1, 0)$	05012
$\mathbf{E}_7 \oplus \mathbf{A}_9 \oplus \mathbf{A}_3$	$(0, 1)$	1500
$\mathbf{E}_7 \oplus \mathbf{A}_{10} \oplus \mathbf{A}_2$	$(0, 1)$	
$\mathbf{E}_7 \oplus \mathbf{A}_{11} \oplus \mathbf{A}_1$	$(1, 0)$	1911
	$(1, 0)$	0903
$\mathbf{E}_7 \oplus \mathbf{A}_{12}$	$(1, 1)$	
$\mathbf{E}_7 \oplus \mathbf{D}_4 \oplus \mathbf{A}_5 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	113000; 130012

Continued on next page

Table 3 – continued from previous page

Singularities	(r, c)	Generators of kernels
$\mathbf{E}_7 \oplus \mathbf{D}_4 \oplus \mathbf{A}_7 \oplus \mathbf{A}_1$	$(1, 0)$	10410; 12012
$\mathbf{E}_7 \oplus \mathbf{D}_5 \oplus \mathbf{A}_5 \oplus 2\mathbf{A}_1$	$(1, 0)$	023012; 120102
$\mathbf{E}_7 \oplus \mathbf{D}_5 \oplus \mathbf{A}_7$	$(1, 0)$	1323
$\mathbf{E}_7 \oplus \mathbf{D}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_2$	$(1, 0)$	13002
$\mathbf{E}_7 \oplus \mathbf{D}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(1, 0)$	03302; 11002
$\mathbf{E}_7 \oplus \mathbf{D}_6 \oplus \mathbf{A}_6$	$(0, 1)$	1102
$\mathbf{E}_7 \oplus \mathbf{D}_6 \oplus \mathbf{D}_5 \oplus \mathbf{A}_1$	$(1, 0)$	12012; 01212
$\mathbf{E}_7 \oplus 2\mathbf{D}_6$	$(1, 0)$	0132; 1120
$\mathbf{E}_7 \oplus \mathbf{D}_7 \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(2, 0)$	12012
$\mathbf{E}_7 \oplus \mathbf{D}_7 \oplus \mathbf{A}_5$	$(1, 0)$	1230
	$(1, 0)$	1032
$\mathbf{E}_7 \oplus \mathbf{D}_8 \oplus \mathbf{A}_2 \oplus 2\mathbf{A}_1$	$(1, 0)$	030112; 120102
$\mathbf{E}_7 \oplus \mathbf{D}_8 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	01202; 11010
$\mathbf{E}_7 \oplus \mathbf{D}_{10} \oplus 2\mathbf{A}_1$	$(1, 0)$	11000; 03012
$\mathbf{E}_7 \oplus \mathbf{D}_{10} \oplus \mathbf{A}_2$	$(1, 0)$	1100
$\mathbf{E}_7 \oplus \mathbf{D}_{11} \oplus \mathbf{A}_1$	$(1, 0)$	1212
$\mathbf{E}_7 \oplus \mathbf{D}_{12}$	$(1, 0)$	012
$\mathbf{E}_7 \oplus \mathbf{E}_6 \oplus \mathbf{A}_6$	$(1, 0)$	
$\mathbf{E}_7 \oplus \mathbf{E}_6 \oplus \mathbf{D}_5 \oplus \mathbf{A}_1$	$(1, 0)$	10212
$\mathbf{E}_7 \oplus \mathbf{E}_6 \oplus \mathbf{D}_6$	$(1, 0)$	1012
$2\mathbf{E}_7 \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(1, 0)$	11002
$2\mathbf{E}_7 \oplus \mathbf{A}_5$	$(1, 0)$	1032
	$(1, 0)$	1102
$2\mathbf{E}_7 \oplus \mathbf{D}_4 \oplus \mathbf{A}_1$	$(1, 0)$	11300; 10112
$2\mathbf{E}_7 \oplus \mathbf{D}_5$	$(1, 0)$	1102
$\mathbf{E}_8 \oplus 3\mathbf{A}_3 \oplus \mathbf{A}_2$	$(1, 0)$	031101
$\mathbf{E}_8 \oplus 2\mathbf{A}_4 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	
$\mathbf{E}_8 \oplus \mathbf{A}_6 \oplus \mathbf{A}_3 \oplus \mathbf{A}_2$	$(0, 1)$	
$\mathbf{E}_8 \oplus \mathbf{A}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(1, 0)$	
$\mathbf{E}_8 \oplus \mathbf{A}_6 \oplus \mathbf{A}_5$	$(1, 0)$	
$\mathbf{E}_8 \oplus \mathbf{A}_7 \oplus \mathbf{A}_2 \oplus 2\mathbf{A}_1$	$(1, 0)$	040112
$\mathbf{E}_8 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	02303
$\mathbf{E}_8 \oplus \mathbf{A}_9 \oplus 2\mathbf{A}_1$	$(1, 0)$	05102
$\mathbf{E}_8 \oplus \mathbf{A}_9 \oplus \mathbf{A}_2$	$(1, 0)$	
$\mathbf{E}_8 \oplus \mathbf{A}_{10} \oplus \mathbf{A}_1$	$(1, 0)$	
$\mathbf{E}_8 \oplus \mathbf{A}_{11}$	$(1, 0)$	091
$\mathbf{E}_8 \oplus \mathbf{D}_5 \oplus \mathbf{A}_5 \oplus \mathbf{A}_1$	$(1, 0)$	02312
$\mathbf{E}_8 \oplus \mathbf{D}_6 \oplus \mathbf{A}_5$	$(1, 0)$	0132
$\mathbf{E}_8 \oplus \mathbf{D}_7 \oplus 2\mathbf{A}_2$	$(1, 0)$	
$\mathbf{E}_8 \oplus \mathbf{D}_{10} \oplus \mathbf{A}_1$	$(1, 0)$	0312
$\mathbf{E}_8 \oplus \mathbf{E}_6 \oplus \mathbf{A}_4 \oplus \mathbf{A}_1$	$(1, 0)$	
$\mathbf{E}_8 \oplus \mathbf{E}_6 \oplus \mathbf{D}_5$	$(1, 0)$	

Continued on next page

Table 3 – continued from previous page

Singularities	(r, c)	Generators of kernels
$\mathbf{E}_8 \oplus \mathbf{E}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	01212
$\mathbf{E}_8 \oplus \mathbf{E}_7 \oplus \mathbf{A}_4$	$(1, 0)$	
$2\mathbf{E}_8 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	

Table 4. Extremal families

Singularities	(r, c)	Generators of kernels
$16\mathbf{A}_1$	$(1, 0)$	see Remark 4.9
$4\mathbf{D}_4$	$(1, 0)$	13320; 22110
$\mathbf{D}_4 \oplus 3\mathbf{A}_3 \oplus 4\mathbf{A}_1$	$(1, 0)$	133101103; 222011000; 002211110
$6\mathbf{A}_3$	$(1, 0)$	1320330; 2031130
	$(1, 0)$	1313002; 3003332; 0022022
$2\mathbf{A}_7 \oplus 4\mathbf{A}_1$	$(1, 0)$	2600110; 0411110
$2\mathbf{D}_4 \oplus 2\mathbf{A}_3 \oplus 4\mathbf{A}_1$	$(1, 0)$	202010102; 310211000; 222200000; 002211110
$3\mathbf{D}_4 \oplus 6\mathbf{A}_1$	$(1, 0)$	see Remark 4.10
$\mathbf{D}_5 \oplus 3\mathbf{A}_3 \oplus 4\mathbf{A}_1$	$(1, 0)$	231100113; 202211000; 202000112
$\mathbf{D}_5 \oplus \mathbf{D}_4 \oplus 2\mathbf{A}_3 \oplus 3\mathbf{A}_1$	$(1, 0)$	30111113; 03201012; 01220110
$2\mathbf{D}_5 \oplus 3\mathbf{A}_2 \oplus 2\mathbf{A}_1$	$(1, 0)$	22000112
$2\mathbf{D}_5 \oplus 2\mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	1331000; 2020112
	$(1, 0)$	1303113; 2020112
$2\mathbf{D}_5 \oplus 2\mathbf{A}_4$	$(1, 0)$	
$2\mathbf{D}_5 \oplus \mathbf{A}_7 \oplus \mathbf{A}_1$	$(1, 0)$	31200
$2\mathbf{D}_5 \oplus \mathbf{A}_8$	$(1, 0)$	
$2\mathbf{D}_5 \oplus \mathbf{D}_4 \oplus \mathbf{A}_4$	$(1, 0)$	22102
$2\mathbf{D}_5 \oplus 2\mathbf{D}_4$	$(1, 0)$	02322; 20112
$3\mathbf{D}_5 \oplus \mathbf{A}_2 \oplus \mathbf{A}_1$	$(1, 0)$	131013
$3\mathbf{D}_5 \oplus \mathbf{A}_3$	$(1, 0)$	33213
$\mathbf{D}_6 \oplus 3\mathbf{A}_3 \oplus 3\mathbf{A}_1$	$(1, 0)$	11330103; 10220100; 20201102
$\mathbf{D}_6 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	323101; 202112
$\mathbf{D}_6 \oplus \mathbf{D}_4 \oplus 2\mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(1, 0)$	3002012; 1020102; 2200112
$\mathbf{D}_6 \oplus \mathbf{D}_5 \oplus \mathbf{A}_3 \oplus 4\mathbf{A}_1$	$(1, 0)$	32210000; 12001110; 02200112
$\mathbf{D}_6 \oplus \mathbf{D}_5 \oplus 2\mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	213113; 102012
$2\mathbf{D}_6 \oplus 2\mathbf{A}_3$	$(1, 0)$	22220; 33020
$2\mathbf{D}_6 \oplus \mathbf{D}_4 \oplus 2\mathbf{A}_1$	$(1, 0)$	312112; 033012; 101012
$\mathbf{D}_7 \oplus 2\mathbf{D}_5 \oplus \mathbf{A}_1$	$(1, 0)$	33103
$\mathbf{D}_8 \oplus 2\mathbf{D}_5$	$(1, 0)$	2222
	$(1, 0)$	3220
$\mathbf{D}_9 \oplus \mathbf{D}_5 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(1, 0)$	31303
$\mathbf{D}_9 \oplus \mathbf{D}_5 \oplus \mathbf{D}_4$	$(1, 0)$	2222
$2\mathbf{D}_9$	$(1, 0)$	

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Table 4 – continued from previous page

Singularities	(r, c)	Generators of kernels
$\mathbf{E}_6 \oplus \mathbf{A}_5 \oplus 2\mathbf{A}_2 \oplus 3\mathbf{A}_1$	$(1, 0)$	03001112; 14120000
$\mathbf{E}_6 \oplus \mathbf{A}_5 \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_2$	$(1, 0)$	240110
$\mathbf{E}_6 \oplus 2\mathbf{A}_5 \oplus \mathbf{A}_2$	$(1, 0)$	22400
$\mathbf{E}_6 \oplus \mathbf{A}_1 1 \oplus \mathbf{A}_1$	$(1, 0)$	1800
	$(1, 0)$	0602; 1800
$\mathbf{E}_6 \oplus \mathbf{D}_4 \oplus 4\mathbf{A}_2$	$(1, 0)$	2022220

Table 5. Nonreal strata $\mathfrak{X}(S)$ with $\mu(S) \leq 18$.

Singularities	(r, c)	Generators of kernels
$5\mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	1331313
$\mathbf{A}_7 \oplus 3\mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	213113
$2\mathbf{A}_6 \oplus 2\mathbf{A}_3$	$(0, 1)$	
$3\mathbf{A}_6$	$(0, 1)$	
$2\mathbf{A}_7 \oplus 2\mathbf{A}_2$	$(0, 1)$	
$2\mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	22311
$\mathbf{A}_{11} \oplus \mathbf{A}_3 \oplus \mathbf{A}_2 \oplus 2\mathbf{A}_1$	$(0, 1)$	600110
$\mathbf{A}_{11} \oplus 2\mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	31111
$\mathbf{D}_5 \oplus 2\mathbf{A}_6 \oplus \mathbf{A}_1$	$(0, 1)$	
$\mathbf{D}_5 \oplus \mathbf{A}_9 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	25010
$\mathbf{D}_6 \oplus 2\mathbf{A}_6$	$(0, 1)$	
$\mathbf{D}_6 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus 2\mathbf{A}_1$	$(0, 1)$	342102; 102012
$\mathbf{E}_7 \oplus \mathbf{A}_7 \oplus \mathbf{A}_3 \oplus \mathbf{A}_1$	$(0, 1)$	14010

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